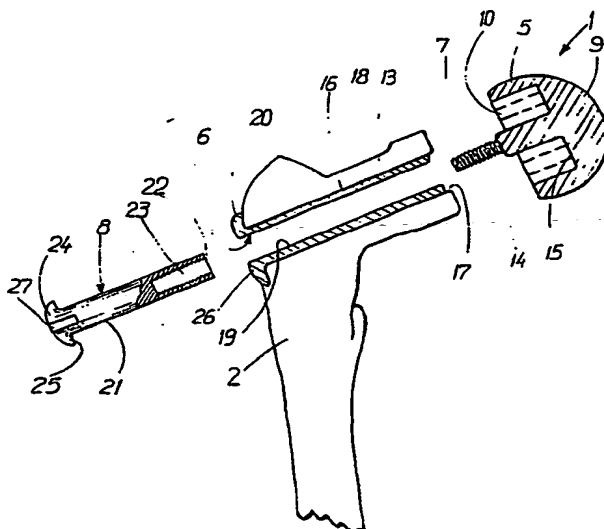




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>4</sup> :  A61F 2/32	A1	(11) International Publication Number: WO 89/11837  (43) International Publication Date: 14 December 1989 (14.12.89)
<p>(21) International Application Number: PCT/SE89/00329</p> <p>(22) International Filing Date: 12 June 1989 (12.06.89)</p> <p>(30) Priority data: 8802194-4      10 June 1988 (10.06.88)      SE</p> <p>(71)(72) Applicants and Inventors: ALBREKTSSON, Björn [SE/SE]; Antilopgatan 6, S-431 38 Mölndal (SE). CARLSSON, Lars [SE/SE]; S:a Bergavägen 8, S-430 41 Kullavik (SE). WENNERBERG, Stig [SE/SE]; Villa Holma, PI 6266, S-424 57 Angered (SE). RÖSTLUND, Tord [SE/SE]; Fasanstigen 2, S-430 41 Kullavik (SE).</p> <p>(74) Agents: GRAUDUMS, Valdis et al.; Albihn West AB, Box 142, S-401 22 Göteborg (SE).</p>		<p>(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), US.</p> <p><b>Published</b> <i>With international search report.</i> <i>In English translation (filed in Swedish).</i></p>

## (54) Title: HIP JOINT PROSTHESIS



## (57) Abstract

Hip joint prosthesis for permanent anchoring in the human hip joint, which prosthesis consists of a ball unit (1) designed to be anchored in the neck of a human femur (2) and a socket unit designed to be anchored in the human pelvis. The ball unit comprises a ball (5) with a convex joint surface (9) which essentially has the shape of a partial sphere, and an anchoring arrangement (6, 7, 8) for anchoring the ball in the femur. The ball (5) has a cavity (10) designed to be arranged on the end of the human femoral neck (13), which is shaped for fitting in the cavity, and a shaft (14) extending essentially centrally from the cavity. The anchoring arrangement (6, 7, 8) for the ball comprises a sleeve-shaped anchoring element (6) to be separately implanted in a channel (17) passing through the femoral neck (13). A joining piece connected to the shaft in the form of a tightening device (8) creates, by engaging with the anchoring element, a prestressing of the ball with the shaft introduced into the sleeve-shaped anchoring element. The anchoring arrangement for the socket unit (3) likewise comprises an anchoring element (36) to be separately implanted in the cavity in the pelvis, and a positive lock for securing the socket on the anchoring element in the pelvis.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	ML	Mali
AU	Australia	FR	France	MR	Mauritania
BB	Barbados	GA	Gabon	MW	Malawi
BE	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IT	Italy	RO	Romania
BJ	Benin	JP	Japan	SD	Sudan
BR	Brazil	KP	Democratic People's Republic of Korea	SE	Sweden
CF	Central African Republic	KR	Republic of Korea	SN	Senegal
CG	Congo	LI	Liechtenstein	SU	Soviet Union
CH	Switzerland	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TG	Togo
DE	Germany, Federal Republic of	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar		
ES	Spain				

## Title: Hip joint prosthesis

## Technical field:

The present invention relates to a hip joint prosthesis for permanent anchoring in the human hip joint, which prosthesis consists of a first joint unit designed to be anchored in the neck of a human femur and a second joint unit designed to be anchored in the human pelvis, in which respect the first joint unit comprises a joint head and an anchoring arrangement for anchoring the joint head in the femur, and the second joint unit comprises a socket with a concave joint surface shaped and dimensioned to bear against and to articulate under sliding contact with the joint head, and an anchoring arrangement for anchoring the socket in a cavity in the pelvis, the anchoring arrangement in the femur comprising at least one sleeve-shaped anchoring element and at least one tightening element connected to the joint head in order to create, by engaging with the anchoring element, a prestressable anchoring of the joint head with the tightening element introduced into the sleeve-shaped anchoring element.

## Prior art:

On the market and in clinical use there are at present a number of different hip prosthesis designs which all have the common feature that the prosthesis components are anchored in connective tissue. This applies, on the one hand, to prostheses intended for anchoring by means of bone cement and, on the other hand, prostheses intended for cement-free anchoring by screwing into the bone tissue or by creating primary fixation by means of a positive lock in another way, and at the same time creating the preconditions for further consolidation by bone tissue growing into the porous surface structures of the associated prosthesis components. The latter principle, which thus involves first creating a positive lock on the macroplane between bone and prosthesis and secondarily creating a positive lock on the microplane by osseous in-growth, has led to extensive marketing by a

- 2 -

number of different companies which have presented variations on the same basic theme, even if irregularities in the prosthesis surface have been produced by different technical methods. The forerunner in this field is the Lord prosthesis which it has been shown can be fixed very satisfactorily to bone tissue, but without direct contact between prosthesis component and bone tissue, and, above all, without the necessary physiological load interplay between prosthesis and bone tissue. This has meant that the bone tissue has disappeared in places on account of the fact that it has not been able to transmit physiological load. This problem has been reduced in prosthesis components produced in later years, but there is still no convincing proof that today's prosthesis designs for cement-free anchoring in the hip joint are superior to yesterday's joint replacements in the form of cemented prostheses.

The basic design which has predominated, regardless of the anchoring principle, has involved the acetabulum being replaced by a joint socket of high-density polyethylene which has either been cemented or screwed into the bone base with or without prestressing, and at the same time the joint ball has been replaced by a metal ball provided with a stem which goes down into the medullary cavity of the hip bone. The stem length has varied between 10 and 30 cm. The stems of the cement-free prosthesis systems have had a surface structure for osseous in-growth either along the entire stem or along only parts thereof.

There have been departures from the abovementioned basic design, which have characterized a number of different hip prostheses. One example of these is the Ring prosthesis whose acetabulum was screwed securely with a long stem screw up into the pelvis. Another exception is the double-cup prosthesis (Wagner and ICLH) in which the joint ball consisted of a stemless hood covering the femoral neck, which was preserved to the greatest pos-

sible extent using this type of prosthesis. The usual prostheses provided with a stem, of the Charnley, Müller or McKee-Ferrari type, assumed that the greater part of the femoral neck was removed in connection with the prosthetic surgery. Patent publication DE A 2,845,231 discloses a prosthesis in which respect it is assumed that anchoring of the joint ball unit is obtained by direct osseous in-growth in porous surface structures. The known prosthesis has a non-threaded anchoring sleeve which is so short that the main part of a shaft incorporated in the joint ball unit makes bone contact, and this means that joint surface replacement must be effected in a single stage with simultaneous assembly of both an anchoring arrangement and the joint ball unit.

15      Technical problem:

At present it may be said that in Sweden it has been possible for about 20 years now to replace the hip joint with good results, but not without risks of complications, which increase with time after introduction of the prosthesis system. In patients with degenerative disorders or rheumatism, the anticipated benefits of hip prosthesis surgery are generally so great that an operation is recommended without hesitation despite awareness of the risks of complications such as infection and, above all, mechanical loosening. The risk of mechanical loosening increases with time after the operation and with the level of activity of the patient. The latter risk factor has limited the indication to essentially include patients of 60 years and above. In these cases a lower level of activity and a shorter remaining life span are expected. In the case of younger patients the attitude to prosthetic surgery is one of hesitation, as a result of doubts regarding the reliability of the joint replacements on offer today and doubts regarding, first, the anchoring stability and, second, the risks related to the body's reaction to foreign material incorporated in the prosthesis. It is clear that there is a considerable requirement among patients of less than 60 years of age

for hip prostheses with better guarantees in respect of anchoring stability and biocompatibility.

5 Since it has been possible in Sweden for more than 20 years now to follow up hip prostheses anchored with cement and, for less than 10 years, to follow up prostheses anchored without cement, it has been observed that there is an increased incidence of mechanical loosening with time, regardless of the choice of anchoring method. It has been possible to demonstrate histologically that 10 no more than a point-wise direct contact has been achieved between bone tissue and prosthesis component, which does not rule out the possibility that the prosthesis component may have been anchored very firmly in the bone base via fibrous tissue encapsulating the prosthesis. 15 Against the background of this experience, it is interesting to note that there is a Swedish method for skeletal anchoring of dental bridges with dental implants in which the anchoring stability, on the one hand, far exceeds that obtained earlier after joint replacement by the abovementioned methods and, on the other hand, the initially achieved anchoring stability is maintained for over 20 years after the skeletal implantation. 20 This unique anchoring stability of a skeletal implant is probably dependent on the creation of a direct contact free from connective tissue between the bone tissue and implant, so-called osseointegration. The preconditions for this type of unique anchoring have been shown to be the use of commercially pure titanium as metal component with unique biocompatibility and corrosion resistance, combined with a controlled and atraumatic operating technique, and the avoidance of direct loading by means of the implant being inserted in a 2-stage procedure in which an anchoring element is simply 25 implanted in the first stage and is only coupled to a loaded function element in a second operation. 30 35

One aim of the present invention is to provide a hip joint prosthesis which is based on the experiences from

- 5 -

the abovementioned Swedish method for jaw implants. The aim is, therefore, to create an osseointegrated hip joint prosthesis in which the metal component consists of titanium and in which anchoring element and function element are introduced in separate operating stages with a load-free interval of at least 3 months. In the present invention it is of course intended, in the first place, to create the conditions for a satisfactory anchoring stability which will make it possible to extend the indications for hip prosthesis surgery to more active and younger individuals than has been the case up till now, but a further aim is to reduce the theoretical risks related to the transport of toxic metal ions from the prosthesis material to the body tissues. Although the metal alloys used in prosthesis systems in clinical use today offer a good compromise as regards satisfying requirements for mechanical strength, wear resistance, corrosion resistance and production economy, important objections can theoretically be raised as regards their biocompatibility properties. The alloy substances, such as chromium, cobalt, nickel, aluminium and vanadium, are thus potentially toxic, even though it has not been possible to elucidate the threshold value for toxic and allergic reactions to these metals. For example, in the world today there are only 10 or so reported cases in which there has been cause to suspect a connection between development of cancer and the use of prostheses of cobalt-based alloy, but there is nevertheless concern that this type and other types of complications are essentially increasing in parallel with the increasing use of prosthesis systems for the large joints of the body. As regards commercially pure titanium, no case has as yet been described where it was possible to report either allergy or any other toxic reaction to the metal in question. Both experimental studies and clinical experience indicate that commercially pure titanium is superior to alternative implant metals from the point of view of biocompatibility.

- 6 -

One aim of the present invention is to produce a hip joint prosthesis by means of which the surgical defect in prosthetic surgery is reduced, i.e. the cutting of intact bone tissue in connection with the operation can be reduced by preserving a large part of the femoral neck.

Technical solution:

The said aims are achieved by means of a hip joint prosthesis according to the present invention, which is characterized in that the sleeve-shaped anchoring element with a grooved outer surface is designed to be separately implanted in a channel passing through the femoral neck and to extend essentially over the entire length thereof in order to form an anchorage in the femur via the said outer surface and to form a guide for the tightening element of the first joint unit and an axle guide for a cutting instrument rotatable about an axle for shaping at least one bearing surface of the femoral neck, extending rotationally symmetrical about the longitudinal axle of the said tightening element, to the shape of at least one corresponding bearing surface of the joint head extending rotationally symmetrical about the attachment of the said tightening element in the joint head, and in that the tightening element comprises a head and is designed to be axially introduced into the associated sleeve-shaped anchoring element and connected to the joint head.

Description of figures:

The invention will be described in greater detail below on the basis of a number of exemplary embodiments and with reference to the attached drawings in which Figs. 1a and 1b show exploded views in section of the components incorporated in a first embodiment of the hip joint prosthesis according to the present invention. Fig. 2 shows a perspective view of the joint ball unit incorporated in the prosthesis. Figs. 3 - 11 show schematically the procedure for assembly of the hip joint prosthesis according to Figs. 1a and 1b, while Figs. 12 - 18 show, on a larger scale, partially broken perspective



views of the assembly procedure in greater detail, and Fig. 19 shows a hip joint provided with the hip joint prosthesis in the first embodiment according to the present invention. Fig. 20 shows the hip joint prosthesis in a second embodiment. Figs. 21 - 24 show the different stages in the implantation of the prosthesis according to Fig. 20, and Figs. 25 and 26 show two further embodiments of the prosthesis according to the invention.

Preferred embodiments:

The design of the hip joint prosthesis in the first embodiment emerges most clearly from Fig. 1a, Fig. 2 and Fig. 16. The prosthesis consists of two main units - on the one hand a ball unit 1 intended to be anchored in a subject's femur 2 and, on the other hand, a socket unit 3 intended to be anchored in a subject's pelvis 4 - in order to replace degenerated or damaged joint surfaces in a subject's hip joint. The ball unit 1 consists of a ball 5 and an anchoring arrangement, comprising an anchoring element 6 and a screw connection in the form of a threaded pin 7 projecting out from the ball 5 and a threaded screw bushing 8.

The ball 5 is made, for example, of titanium and has a convex joint surface 9 which has the shape of a partial sphere, essentially an approximated semisphere with an essentially cylindrical cavity 10, which is open in the direction away from the joint surface 9. As emerges most clearly from Fig. 2, the cylindrical inner wall 11 of the cylindrical cavity 10 is designed with profiling, in the illustrated example in the form of ribs 12 in order to permit secure positive locking against the femoral neck 13 with deformation of its surface. In the example shown, the ribs extend in the axial direction parallel to the longitudinal direction of the threaded pin 7, but they can also have another design such as, for example, threads for engaging with the femoral neck. The pin 7 extends centrally and axially relative to the cylindrical

cavity 10 and forms part of a shaft 14 which extends centrally and axially and projects from the base 15 of the cavity 10. The unthreaded part of the shaft 14 is essentially cylindrical and has a diameter which exceeds the diameter of the threaded pin 7, and its shape and dimensions are adapted to the shape and dimensions of the anchoring element 6 in such a way that the shaft 14 can be introduced into the anchoring element.

The anchoring element 6 forms, as shown most clearly in Fig. 1a, a femoral neck fixture in the form of a sleeve of, for example, titanium with a grooved, for example threaded, contact surface against the bone. This sleeve is essentially cylindrical and has an outer wall 16, for example cylindrical, intended for anchoring in a drilled channel 17 through the femoral neck 13, and a continuous cylindrical channel 18 with a cylindrical inner wall 19 dimensioned so as to form a guide for the shaft 14 of the ball 5. The anchoring element 6 has, at its end distant from the ball 5, a flange 20 intended to bear against the femur 2 in an area around the outer end of the channel 17. The outer wall 16 of the anchoring element 6 can be profiled by means of elongate ribs or threads for good anchoring in the femoral neck.

The screw bushing 8 is designed as an essentially cylindrical body with a cylindrical outer wall 21, the shape and dimensions being adapted to be introduced into the channel 18 of the anchoring element 6 so as to be positionally guided in the latter with good precision. The cylindrical wall 21 of the screw bushing is expediently given the same diameter as the shaft 14. The screw bushing 8 also has a central bore 23 open at one end 22 with an internal thread dimensioned to cooperate with the screw 7 of the ball 5 in order to form the screw connection. The screw bushing 8 furthermore has a head 24 which comprises a stop surface 25 which forms an abutment against a bearing surface 26 on the flange 20 of the anchoring element 6. In the example shown, the head is

- 9 -

5 designed as a hexagonal screw with a hexagonal recess 27 for tightening the ball 5 with a hexagonal wrench and prestressing it, as will be described in greater detail hereinbelow. Another design of the head, for example with an external polygonal form, helical grooves or the like, is of course conceivable.

10 In the example shown, the socket unit 3 is designed as a double socket with a load-absorbing base socket 28 made of a strong, tissue-compatible material, such as titanium, and a socket made of a material which gives low friction against the ball 5, for example a wear-resistant polymer material such as high-density polyethylene. The socket has a concave and essentially semispherical cavity 15 30 whose form and dimensions are adapted to the external form and dimensions of the joint surface 9 of the ball 5. The concave wall 31 of the socket 29 thus forms the joint surface of the socket unit 3. The socket 29 has a convex and essentially semispherical fitting surface 31 intended to be introduced into a correspondingly shaped and 20 dimensioned and essentially semispherical concave bearing surface 32 in the base socket 28. The socket 29 is intended to be connected to the base socket 28 by means of a positive lock which, in the example illustrated in Fig. 14, consists of a snap lock in the form of a circumferential rib 33 on the fitting surface 31 of the bearing 25 socket, which rib 33 is intended to snap into a corresponding groove 34 in the bearing surface of the base socket 28.

30 The socket unit 3 (see Fig. 16) moreover comprises an anchoring arrangement 34 for anchoring the base socket 28 in the hollow 35 of the pelvis 4 adapted by pre-forming to the shape of the base socket 28. The anchoring arrangement comprises at least one anchoring element 36 in the form of a pelvis fixture, for example of titanium, 35 comprising a positive lock for cooperation with a central pin 37 which projects from the base socket 28. As described in greater detail below, the anchoring element

36 of the pelvis 4 is, in a first operation, anchored in a recess formed in the pelvis and has an external profile 38 which, by means of deformation of the walls in this recess, ensures a positive engagement and a growing together with the bone tissue. As in the example shown, this profile can be a screw thread or axially extending grooves. On the inside the anchoring element 38 has a threaded bore 39 and is therefore in the form of a sleeve or bushing into which the base socket 28 can be introduced by means of its tapered pin 37. Moreover, in the example shown, the anchoring arrangement 34 has a number of anchoring screws 40 (see Fig. 1b) with countersunk heads intended to be introduced into bevelled holes 41 in the base socket 28. The anchoring screws 40 are intended to be anchored in bores 42 formed in the hollow 35 of the pelvis 4. The inside 43 of the base socket 28 is, like the other main surfaces of the socket unit 3, essentially semispherical and forms a fitting surface for load-absorbing support against the concave hollow 35 in the pelvis.

Before the ball is screwed onto the femoral neck and the central shaft 14 slides into the femoral neck fixture 16, a trepan 44 is used (see Figs. 6 and 17) to adapt the dimension of the femoral neck 13 so that it affords a positive lock when the ball 5 with its cutting profile is tightened or screwed on. So that both the threaded cylinder wall of the ball and the central shaft are adapted correctly on the one hand to the outer contour of the femoral neck and, on the other hand, to its fixture, the trepan for form adaptation of the femoral neck is fixed with a central guide pin 45 which goes into the fixture which in this respect forms a guide bearing. The rotation of the trepan about the guide pin 45 produces a bearing surface, rotationally symmetrical relative to the longitudinal axis of the anchoring element, on the femoral neck whose shape is adapted to the cylindrical inner wall 11 of the joint head. There are, for example, three trepans with different internal diameters cor-

responding to three differently dimensioned joint balls intended for femoral necks of three different dimensions.

5 When the titanium ball is screwed securely onto the femoral neck, the central shaft 14 with both its cylindrical and threaded part slides into the centre of the cavity in the femoral neck fixture, which cavity constitutes a space for both the pin and also the internally threaded locking sleeve introduced from the other end of the fixture. This sleeve is provided with a prestressing device against the fixture so that, when it is threaded against the ball pin, it causes the ball to press against the femoral neck. Thus, as a result of the adaptation of its form to the neck and as a result of the screw connection of the ball shaft against the compression sleeve, 10 the ball acquires, on the one hand, a positive locking and, on the other hand, a prestressing against its bone base.

20 Before the ball in the second operation has been screwed onto the femoral neck, the titanium socket is fixed in the acetabulum by means of, on the one hand, the central outer pin 37 of the socket, which pin forms a male connection in the female seat of the pelvic fixture, and, on the other hand, screw connections directly to the bone tissue via the holes 41 in the titanium socket. The pin 25 on the socket has a conical finish towards its socket attachment with a gradient of 1:15. This compensates for tensile stress at the socket-leg transition, but the primary function of the pin is to neutralize the moment which arises via the various compression screws 40 which risk causing both tensile and shearing stress on the 30 opposite side of the socket-leg connection. In order to reduce such risk-related moments, it is desirable to increase the contact pressure between metal socket and bone optimally by prestressing by means of compression screwing. However, this presupposes that the contour of the socket follows that of the bone seat, and this is 35 facilitated by bone transplantation between socket and

pelvis. The titanium socket is thus fitted on a bone surface, well adapted by bone transplantation, by means of, on the one hand, the central conical pin and, on the other hand, three to five compression screws introduced through holes in the titanium socket. Thereafter, the joint socket is fitted to the base socket via the snap attachment 33, 34. The joint socket, which consists for example of high-density polyethylene, is now fixed to the acetabulum via, on the one hand, an osseointegrated anchoring element in the form of a titanium fixture and, on the other hand, the base socket with its compression screws.

The two operations required for introducing the hip joint replacement should be separated by an interval of at least 3 months. The first surgical intervention can be carried out via a small lateral incision, such as is used in nailing of a fracture of the collum, and in this operation, under fluoroscopy and with the patient on one side, a central hole is made in the head of the collum and this hole is extended into the acetabular base of the pelvis.

The procedure is carried out in a precise manner, as in collum nailing, starting with a Kirschner wire in order to find the ideal position with the aid of TV fluoroscopy, and then a cannulated drill and a cannulated thread tap are used to make a hole through the entire head and the acetabular base with a diameter of about 11 to 12 mm. The pelvic fixture which is very short is then introduced by means of a long insertion device through the hole in the collum head, after which the femoral neck fixture is introduced through the same hole up to a level with the cartilage-bone limit on the head.

The second operation requires considerably greater intervention. The approach must be from the front with regard to the anteversion angle of the collum, and the incision and technique are approximately the same as used

- 13 -

for the ICLH prosthesis. As in the introduction of a double cup, a trepan is used to cut the head of the collum, which trepan has been described above and can advantageously be manually operated. As described above, the trepan has a central pin which is introduced into the femoral neck fixture, and the trepan is guided so as to cut the bone. This can be carried out in several stages if the bone is hard and, in this case, a series of trepans of gradually decreasing diameters is used.

Once the hip joint surface replacement has been introduced in the second operation, a situation exists in which there are well anchored joint surface replacements which, by means of good contact adaptation and pre-stressing, permit early exercising, but with a primary load reduction.

The design of the hip joint prosthesis in the second embodiment is shown most clearly in Figs. 20 and 21. As in the first embodiment, the hip joint prosthesis is made up of two joint units here: a first joint unit 45 anchored in the human femoral neck 13 and a second joint unit 46 anchored in the human pelvis 47. The joint unit anchored in the femur 2 has a joint head 48 anchored by means of an anchoring arrangement 49 which uses the same anchoring principle as that in the first embodiment, but which in other respects is of a different design. The joint head 48 also differs to the extent that there is no cavity for receiving a shaped part of the human femoral neck 13, but has instead an essentially plane bearing surface 50 for bearing against a plane bearing surface 51, made by cutting (see Fig. 24), in the human bone tissue. This bearing surface 51 in the bone tissue extends preferably at right angles to the longitudinal axes of the recessed channels 57. The anchoring arrangement 49 in the second embodiment does not have only one anchoring element, and one tightening element, but at least two. In the example shown there are three sleeve-shaped anchoring elements 52, 53, 54, and a number of

5 tightening elements 55, 56 corresponding to the number of  
anchoring elements, i.e. one tightening element posi-  
tioned in each anchoring element. The sleeve-shaped  
anchoring elements 52, 53, 54 are each introduced into a  
10 respective continuous channel 57 in the femur (see Fig.  
23) and have an essentially cylindrical outer circum-  
ferential surface 58 which is provided with grooves  
which, in the example shown, are directed across the  
longitudinal direction of the anchoring elements and can  
15 be elongate linear grooves or, as in the example shown,  
can form a thread, i.e. extend in a spiral shape. The  
external diameters of the anchoring elements and the  
diameters of the recessed channels 57 are adapted in such  
a way that the anchoring elements completely fill the  
20 width of the channels and engage with the grooves in the  
bone tissue. However, the anchoring elements 52, 53, 54  
have a section 59, directed towards the joint head 48,  
which does not make bone contact and expediently has a  
smooth cylindrical circumferential surface. Moreover,  
the anchoring elements fill the entire length of the  
recessed channels 57 up to the bearing surface 51 of the  
femoral neck. The anchoring elements 52 - 54 thus  
preferably extend at right angles to the bearing surface  
50 of the joint head 48.

25 Fig. 21 shows the mutual positions and extents of the  
anchoring elements. It also shows that one anchoring  
element 52 expediently has a greater external and inter-  
nal diameter than the two other anchoring elements 53, 54  
and that the anchoring elements have a mutual posi-  
30 tioning, viewed from their end, in which their mutually  
parallel longitudinal axes form, in a plane transverse  
thereto, the corners of an isosceles triangle with the  
larger anchoring element 52 positioned distal at the apex  
of the isosceles triangle, while the two smaller ancho-  
35 ring elements are positioned proximal and each form a  
corner of the triangle base, which is the shortest side  
of the triangle. The anchoring elements 52 - 54 are  
suitably made of a strong and tissue-compatible material,



such as titanium, which permits osseointegration between the outer surface 58 and the bone tissue.

Fig. 20 shows that the joint head 48 has a number of essentially cylindrical flanges 60 projecting from the bearing surface 50 of the head, the number thereof corresponding to the number of anchoring elements. The flanges 60 extend rotationally symmetrical about the common longitudinal axes of the anchoring elements and tightening elements in their assembled state. Thus, in the example shown, three cylindrical flanges 60, 61 projecting from the bearing surface 50 of the joint head 48 are designed as integrated parts in the bearing surface of the joint head. The flanges 60, 61 have a cylindrical internal surface 62 for guiding and bearing against the external circumferential surface 58 of the associated anchoring element, more specifically at its narrowing, smooth part 59. The radius of curvature of this internal bearing surface 62 is adapted to the diameter of the smooth circumferential surface of the anchoring element in such a way that there is a good fit between the surfaces. Each flange also has an outer, essentially cylindrical or, for example, conical bearing surface 63 which is rotationally symmetrical about the anchoring element and tightening element and which is intended to bear against a recessed bearing surface 64 in the femoral neck. This bearing surface 64 is thus rotationally symmetrical about the associated recessed channel 57 in the femoral neck and forms a widened part of this at the end of the channel towards the joint head 48. The procedure for making this recess is explained in greater detail below with reference to Fig. 24.

The joint head also has a recess 65 for each tightening element 55, which recess 65 is shaped after the form of the end 66 of the tightening element and is, for example, essentially cylindrical and is either provided beforehand with internal threads or is formed for thread engagement with the end part of the tightening element, which end

part is expediently threaded. Another technique for locking the tightening element in the joint head is of course conceivable. Thus, in the example shown, three mutually parallel recesses 65 are arranged, about which each of the three projecting bearing flanges 60, 61 are rotationally symmetrically arranged with an axis of symmetry which coincides with the axis of symmetry of the recesses 65. The recesses 65 are expediently dimensioned with such a depth that the tightening elements 55 do not reach the bottom of the recesses, but rather there is a certain amount of space for necessary tolerances and also for prestressing of the joint head 48 against the bearing surface 51 of the femoral neck. The load-absorbing surfaces, however, consist essentially of the annular end surfaces 67 of the tightening elements, against which end surfaces there bear corresponding surfaces 68 in the bottom of the cavity 69 designed as a hollow cylinder, except for the force absorption which is effected in the screw connection between the end 66 of the tightening element and the recess 65 in the joint head 48. The joint head 48 rests and is thus anchored in three take-up positions, which affords a stable force absorption as regards both tensile, bending and torsional forces. In a corresponding manner, there is a step-like surface at the transition between the recessed channel 57 in the femoral neck and its widened part with the bearing surface 64 in the bone tissue. This step-like surface 70 corresponds to the end surface 71 of each flange 60, which does not necessarily need to reach the bottom of the recess, but rather a space can exist for tolerances and prestressing. Thus, no forces are to be transmitted between these two surfaces 70, 71.

The tightening elements 55 are designed as screws which, however, only have to be threaded in their part situated outside the sleeve-shaped anchoring element. The main part 72 of the tightening element extends in the continuous and expediently cylindrical channel 73 formed by means of the sleeve shape of the anchoring element, with

- 17 -

a preferably smooth internal circumferential wall 74. Correspondingly, the cylindrical part 72 of the tightening element in the channel is expediently smooth and dimensioned for good fitting in the anchoring element with small tolerances, so that the tightening element can, during assembly, be introduced into the anchoring sleeve with satisfactory guiding therein. Each of the tightening elements moreover has a head 75 which is expediently recessed in a conical countersink 76 in that end of the anchoring element distant from the joint head 48. For turning the tightening element 55 and, thus, screwing the latter into the joint head 48, a groove is arranged, for example for a hexagonal wrench. In order to improve the form connection to the outer surface of the leg, a flange 77 common to all three anchoring elements is connected firmly to the ends of the anchoring elements by means of these being threaded into holes in the flange. The flange 77 is shaped in such a way that it matches as well as possible the form of the femur 2. An important factor for the main parts of the anchoring arrangement, namely the anchoring elements and tightening elements, is that the anchoring elements should have such an extension and also be arranged in such a way that direct contact between tightening element and bone tissue is avoided, which is a precondition for implantation in two separate sequences. In the example shown in Fig. 20, the tightening elements are completely isolated from direct contact with bone tissue, and the anchoring is effected entirely via the anchoring elements.

The joint head 48 and the other joint unit 46, which is a joint socket unit, can be designed in different ways. In the example shown in Fig. 20, the joint head 48 is designed as an expediently solid partial sphere with a spherical surface 78. The joint head 48 is made, for example, of a strong and tissue-compatible material, such as titanium. The joint socket unit has a socket 79 which is likewise expediently made of titanium and which, by means of an anchoring arrangement 80, is anchored in the

pelvis 47 by means of, for example, three anchoring elements 81 designed as sleeve-shaped elements, which are likewise expediently made of titanium and are introduced into previously formed channels in the hip bone. Grooves in the circumferential surface 82 ensure a secure engagement and osseointegration in the bone tissue. In this case too the anchoring elements are designed in such a way that direct contact is avoided between tightening elements 83 in the form of screws, which are introduced into the anchoring elements in a later operation sequence and screwed into the threaded cavity 84 of the anchoring elements. The screws 83 have heads 85 which are recessed in countersinks 86 in the socket 79 in such a way that they do not cross the concave surface 87 of the socket, which is made, for example, with a polymer lining or as a separate socket part secured in the joint socket in order to provide a good bearing against the joint head.

Implantation of the hip joint prosthesis shown in Fig. 20 is carried out in a way which shows similarities to the procedure in connection with implantation of the first embodiment. A drill guide (not shown) is introduced under TV fluoroscopy through the upper part of the side of the femur, up through the lower part of the femoral neck and penetrating the joint head in a direction indicated by the dot-and-dash line 89 in Fig. 22. The first of the channels described above in the femur 2 is then made by guiding a drill guide. Thereafter, the two other channels are made in a cutting direction indicated by the arrows 90, 91, i.e. parallel to the direction of the first channel. With continued TV fluoroscopy, the hip is put into a position in which the first drilled channel points centrally up into the pelvis 47. Through the distal channel, i.e. the said first channel, a hole is drilled and threaded into the acetabulum 92, i.e. into the pelvis. One of the anchoring elements 81 for the socket unit 46 is then threaded into the acetabulum. In a corresponding way, channels are made in the acetabulum for other anchoring elements by suitable adjustment and

fixing of the joint.

5 After the continuous channels have been made in the femoral neck, the channels are threaded and the three anchoring elements 52, 53, 54 are introduced into the associated channels. Thereafter, the first operation sequence is finished, and the implant is allowed to set.

10 The second operation sequence is initiated by opening and exposing the hip joint, after which the femoral neck is sawn off at the level of the previously introduced anchoring elements 52, 53, 54, as shown in Fig. 24. This affords the plane bearing surface 51 of bone tissue in the femoral neck. As is also shown in Fig. 24, a cutting instrument 93 in the form of a so-called trepan is then used to cut the bone tissue in order to shape the recesses which are intended to take up the collar-like flanges 15 60 around the ends 59 of the anchoring elements. This cutting is carried out with high precision by virtue of the fact that the implanted anchoring elements 52, 53, 54, more specifically their continuous cylindrical channel 73, form an axle guide for the cutting tool. The 20 latter is in fact designed with, in addition to a drive shaft 94 connected to a drive motor, a forward extension in the form of a guide axle 95 which expediently is essentially cylindrical and has a diameter which, with 25 suitable tolerance, corresponds to the internal diameter of the anchoring elements. The cutting instrument moreover has a circular saw 96 which is arranged rotationally symmetrical about the guide axle 95, produces a cylindrical cutting and is dimensioned in such a way that 30 the recess in the bone tissue takes up the collar-like flanges 60 with good fitting. In the example shown, two different dimensions of the cutting instrument are thus required for matching with the two different dimensions of the anchoring elements and flanges. The cutting 35 instrument is advantageously adapted so that a desired depth has been achieved when the instrument reaches the end surfaces 67 of the anchoring elements.

5 The socket unit 46 is then secured in its anchoring  
elements 81 by means of tightening elements 83. It  
should be ensured that the bearing surface 51 of the  
femoral neck is carefully adapted to the end surfaces 67  
of the anchoring elements, in which respect, for example,  
the bearing surface 51 can be situated slightly in front  
of the bearing surfaces 67 so that a certain prestressing  
can be achieved. The joint head 48 is then secured on  
10 the femoral neck by means of the flanges 60 being intro-  
duced into their recesses and the tightening elements 55  
being introduced into the anchoring elements and their  
ends screwed into the joint head until the end surfaces  
67 of the anchoring elements reach the surfaces 68 of the  
joint head. In this respect, the plane bearing surface  
15 50 of the joint head 48 is advantageously pressed against  
the plane, cut bearing surface 51 of the femoral neck  
with a certain prestressing. The hip joint is then reset  
and the wound is closed, after which the operation is  
complete.

20 Fig. 25 shows an example of a further embodiment in which  
the joint head, here designated by 97, has another shape  
with a more pronounced ball 98 and a neck part 99.  
Otherwise, the prosthesis is anchored in the femur in the  
same way as in the embodiment described above. Further-  
25 more, the socket unit, here designated by 100, is divided  
into two sections: on the one hand a base socket 101,  
which is anchored in the manner described above for the  
second embodiment, and an inner socket 102, which can be  
made of a wear-resistant low-friction material. The  
30 inner socket 102 is, for example, secured in the base  
socket by means of a snap lock 103, locking ring or the  
like.

35 The embodiment shown in Fig. 26 has a joint head, here  
designated by 104, of a completely different type. Here,  
the joint head is designed as a ball bearing 105, mounted  
around an axle 106 formed in the joint head, which thus

5 permits rotation of an outer bearing part 107 about the said axle, and a slide bearing in its outer periphery 108 which has the form of a spherical zone, which is adapted to the joint surface of the joint socket 109. In this case the joint socket is provided with an annular element 110 which gives the socket a spherical surface, which exceeds a semisphere, by which means the joint head in the form of the ball bearing 105 cannot be removed from its articulating connection with the socket unit.

10 The invention is not limited to the exemplary embodiments described above and shown in the drawings, but can be varied within the scope of the subsequent patent claims. For example, it is conceivable for the prestressable  
15 anchoring arrangement for the joint head to have another detail design. For example, it is conceivable for the pin 14 to have a length which corresponds essentially to the length of the anchoring element 6 so that the screw 7 projects, in the assembled state, slightly outside the flange 20 of the anchoring element and is prestressed  
20 with a nut instead of the screw bushing 8. In this case, the nut can be expediently countersunk or encapsulated. Alternatively, the screw 7 can be replaced by a sleeve which forms the pin 14 and has a bore with an internal thread, in which connection the screw bushing 8 is  
25 replaced by a screw.

The socket unit can have a completely different design from the unit shown.

30 The joint head and its surfaces bearing against the bone tissue can also be designed in several different ways. A non-plane bearing surface between the joint head and femoral neck is also conceivable in an embodiment with three anchoring elements. Even if the anchoring arrangement is prestressable, it is not necessary per se to use the prestressing possibility in each application. The  
35 expression "grooved outer surface" also includes "threaded outer surface". In the embodiment with three ancho-

- 22 -

ring elements it is not necessary to choose the configuration of an isosceles triangle, and another triangle with different sides is conceivable.



PATENT CLAIMS

1. Hip joint prosthesis for permanent anchoring in the human hip joint, which prosthesis consists of a first joint unit (1; 45) designed to be anchored in the neck of a human femur (2) and a second joint unit (3; 46) designed to be anchored in the human pelvis (4; 47), in which respect the first joint unit comprises a joint head (5; 48; 97; 104) and an anchoring arrangement (6, 7, 8; 49) for anchoring the joint head in the femur, and the second joint unit comprises a socket (28; 79; 102; 109) with a concave joint surface (31; 87; 110) shaped and dimensioned to bear against and to articulate under sliding contact with the joint head, and an anchoring arrangement (34; 80) for anchoring the socket in a cavity (35) in the pelvis, the anchoring arrangement in the femur comprising at least one sleeve-shaped anchoring element (6; 52, 53, 54) and at least one tightening element (7, 8; 14; 55) connected to the joint head in order to create, by engaging with the anchoring element, a prestressable anchoring of the joint head with the tightening element introduced into the sleeve-shaped anchoring element, characterized in that the sleeve-shaped anchoring element (6; 52, 53, 54) with a grooved outer surface (16; 58) is designed to be separately implanted in a channel (17) passing through the femoral neck (13) and to extend essentially over the entire length thereof in order to form an anchorage in the femur via the said outer surface and to form a guide for the tightening element (7, 8, 14; 55) of the first joint unit (1; 45) and an axle guide for a cutting instrument rotatable about an axle (45; 95) for shaping at least one bearing surface (11; 64) of the femoral neck, extending rotationally symmetrically about the longitudinal axle of the said tightening element, to the shape of at least one corresponding bearing surface of the joint head (5; 48; 97; 104) extending rotationally symmetrically about the attachment of the said tightening element in the joint head, and in that the tightening element comprises a head (24; 75) and is designed to be

axially introduced into the associated sleeve-shaped anchoring element and connected to the joint head.

2. Hip joint prosthesis according to Patent Claim 1, in which the joint head (5) consists of a ball (5) with a convex joint surface (9), characterized in that the ball (5) has a cavity (10) designed to be arranged on the end of the human femoral neck (13), which is shaped for fitting in the said cavity, and a shaft (14) extending essentially centrally from the cavity, in that the anchoring arrangement (6, 7, 8) for the ball comprises a single sleeve-shaped anchoring element (6) and a joining piece connected to the said shaft in the form of a prestressing device (8) in order to create, by engaging with the anchoring element, a prestressing of the ball with the shaft introduced into the sleeve-shaped anchoring element.
3. Hip joint prosthesis according to Patent Claim 2, characterized in that the prestressing device comprises a prestressing sleeve (8) which is designed to be introduced into the sleeve-shaped anchoring element (6) and is coupled to the shaft (14) of the ball (5) by means of the said joining piece which constitutes a screw connection.
4. Hip joint prosthesis according to Patent Claim 3, characterized in that the said screw connection consists of a threaded part (7) in the shaft (14) of the ball (5) and a threaded part (23) in the prestressing sleeve (8), which threaded parts are intended to be in thread engagement with each other at variable relative positions, by means of which the ball can be prestressed against the femoral neck (13).
5. Hip joint prosthesis according to Patent Claim 4, characterized in that the anchoring element (6) for the ball (5) has a flange (20) which, when the prosthesis is in the assembled state, is situated at the end distant from the ball, and in that the prestressing sleeve (8)

- 25 -

has a head (24) designed to bear against the flange.

6. Hip joint prosthesis according to any one of the preceding Patent Claims, characterized in that the sleeve-shaped anchoring element (6) has a continuous channel (18) which forms the said axle guide for the said cutting instrument, designed to shape the femoral neck (13) to the shape of the cavity (10) of the ball (5).
7. Hip joint prosthesis according to any one of the preceding Patent Claims, characterized in that the cavity (10) of the ball (5) is essentially cylindrical and profiled in the cylinder surface.
8. Hip joint prosthesis according to Patent Claim 1, characterized in that the anchoring arrangement (49) consists of at least two sleeve-shaped anchoring elements (52 - 54) arranged essentially parallel to each other and each in a channel in the femoral neck (13), and at least two tightening elements (55) extending in each of the anchoring elements.
9. Hip joint prosthesis according to Patent Claim 8, characterized in that the anchoring elements and the tightening elements (55) are three in number and are each arranged in a longitudinal axis cutting through the joint head (48) eccentrically and forming, in a plane at right angles to the longitudinal axes, the corners of a triangle.
10. Hip joint prosthesis according to Patent Claim 9, characterized in that the one anchoring element (52) and the associated tightening element (55) have a greater diameter than the other anchoring elements (53, 54) and associated tightening elements (55).
11. Hip joint prosthesis according to Patent Claim 10, characterized in that the said triangle is isosceles, the anchoring element (52) of greater diameter being situated

at the apex of the triangle.

12. Hip joint prosthesis according to Patent Claim 8, characterized in that the joint head (48) has, on the one hand, an essentially plane bearing surface (50) directed towards the femoral neck (13) and designed to bear against a plane bearing surface (51) of the femoral neck formed by cutting and, on the other hand, a number of flanges (60, 61) corresponding to the number of anchoring elements (52 - 55), which flanges (60, 61) project from the bearing surface of the joint head and are designed to surround one end part of the anchoring elements and to form, with their outside, the said rotationally symmetrical bearing surface (70) of the joint head for bearing against the said rotationally symmetrical bearing surface (71) formed in the femoral neck by means of the cutting instrument (93).

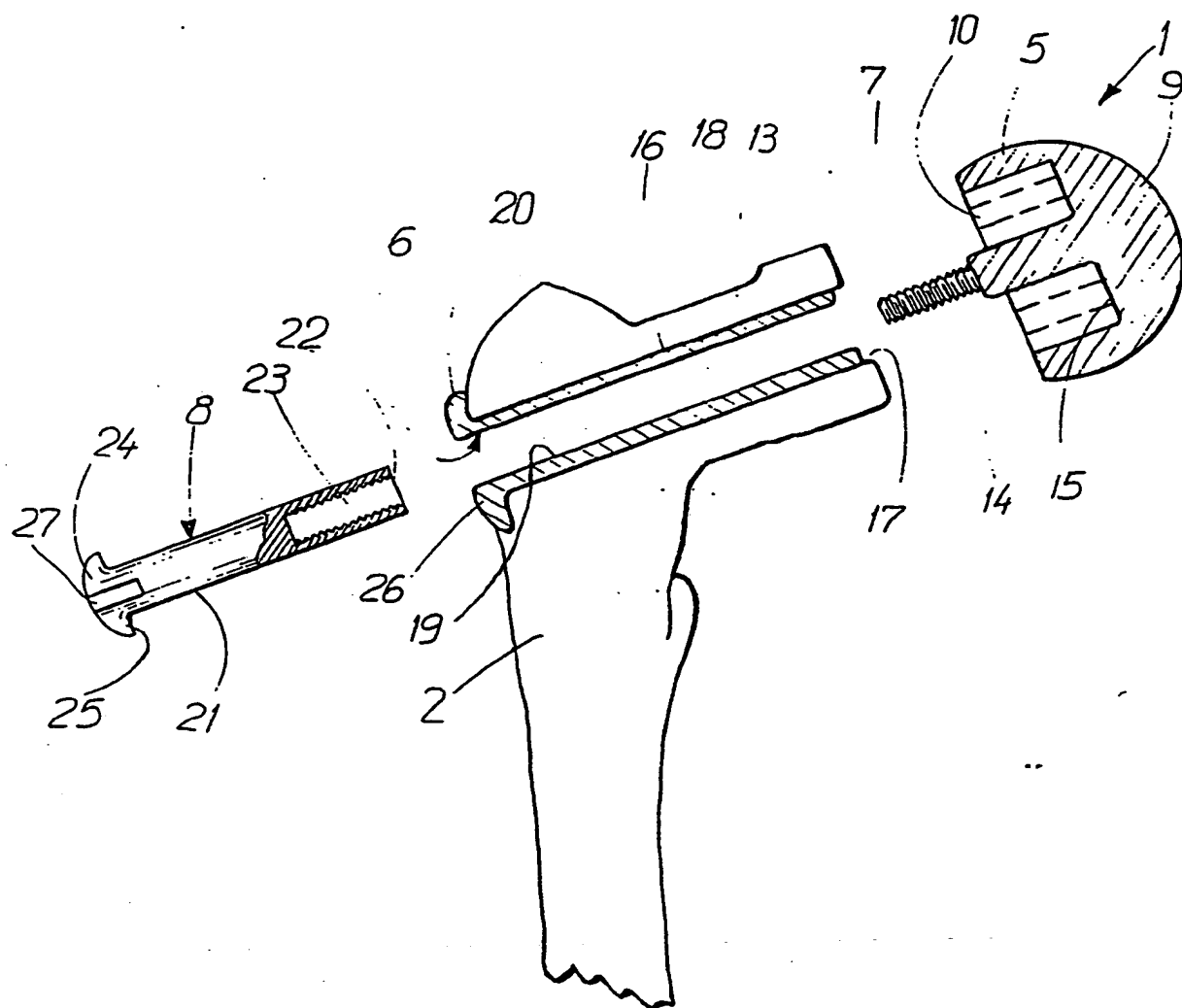
13. Hip joint prosthesis according to Patent Claim 12, characterized in that the said flanges (60, 61) have a stop surface (68) designed to bear against and to transfer to the end face (67) of the anchoring element (52 - 55) forces acting on the joint head (48).

14. Hip joint prosthesis according to Patent Claim 13, characterized in that each tightening element (55) consists of a screw (55) with a head (75) designed to bear against that end (76) of the anchoring element (52, 53, 54) distant from the joint head (48) and designed, with a threaded part (66), to be in thread engagement with the joint head in a recess (65) therein.

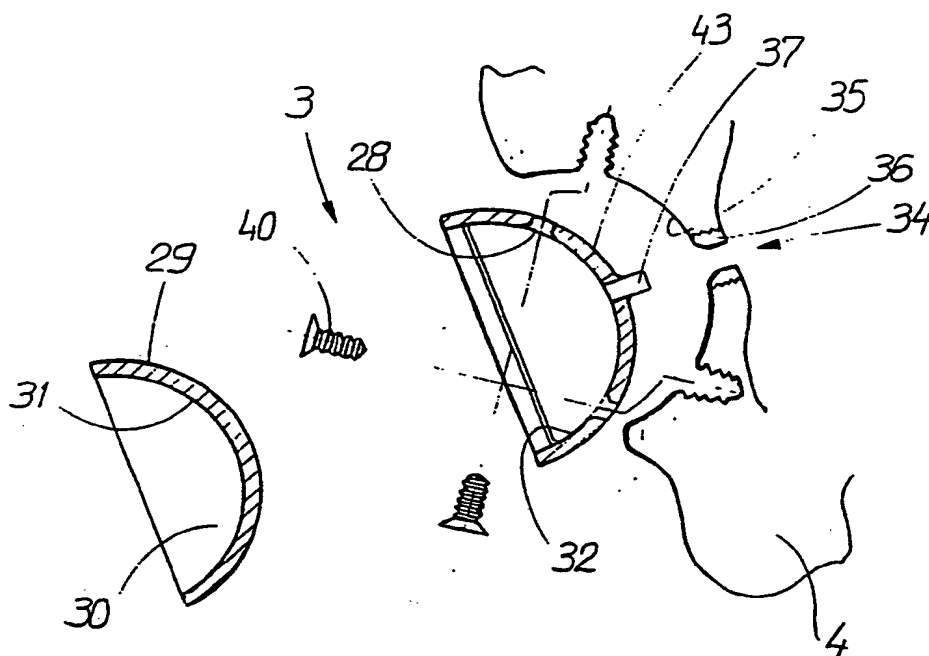
15. Hip joint prosthesis according to Patent Claim 1, characterized in that the said anchoring arrangement (34) for the joint socket (28) comprises sleeve-shaped anchoring elements (36) which are implanted separately in the pelvis and into which a number of screws (40) introduced through holes (41) in the socket are intended to be screwed.

16. Hip joint prosthesis according to Patent Claim 15, characterized in that the joint socket (3) consists of, on the one hand, a load-absorbing base socket (28) which is provided with the said holes (41) and, on the other hand, a joint socket (29) which is secured in the base socket by means of positive locking (33, 34).

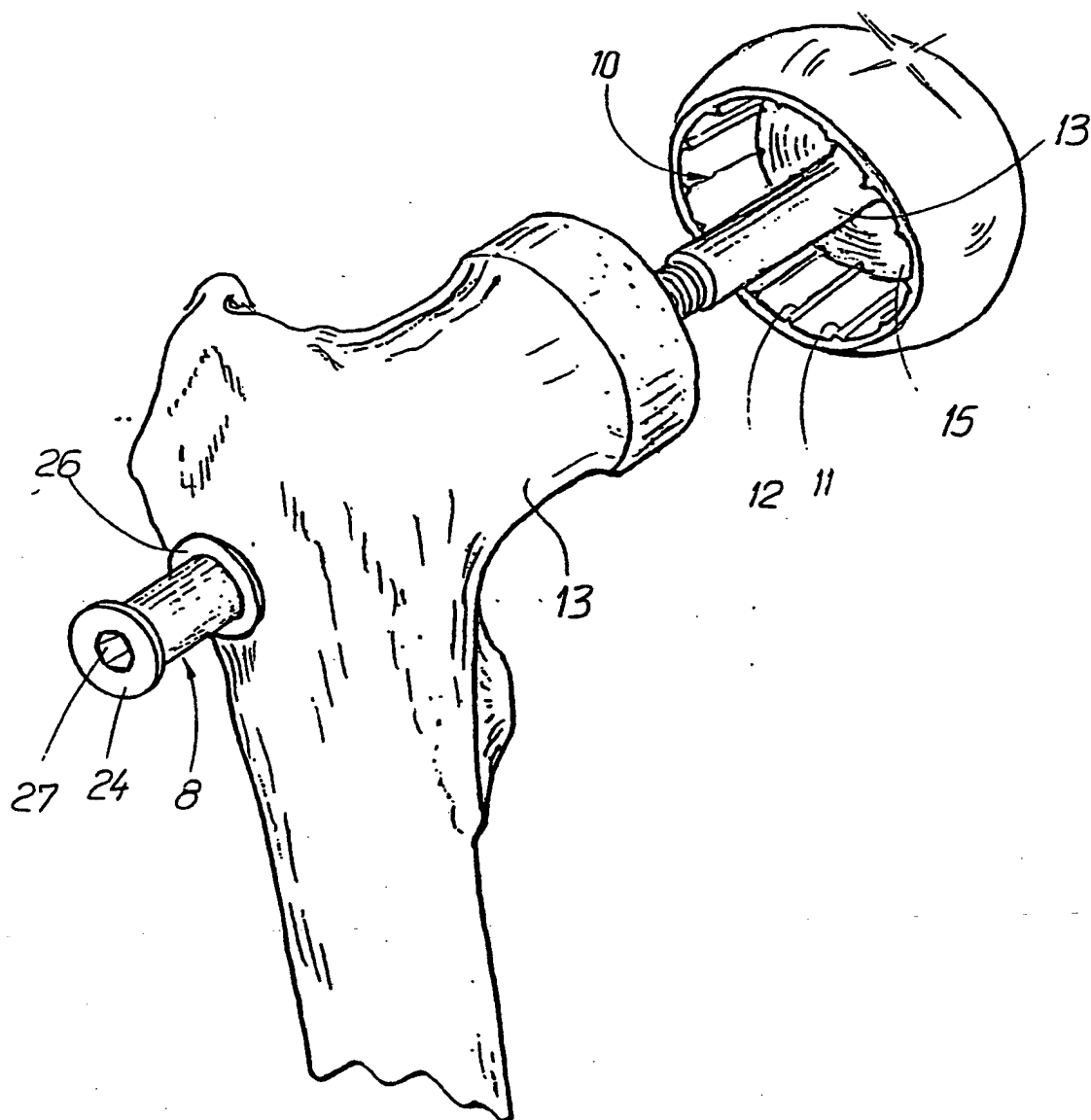
1/19

FIG. 1a

2/19

FIG.1b

3/19

FIG. 2



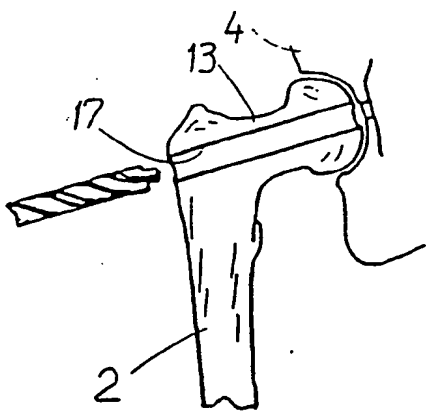


FIG. 3

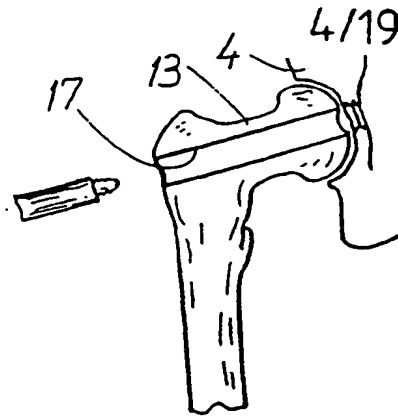


FIG. 4

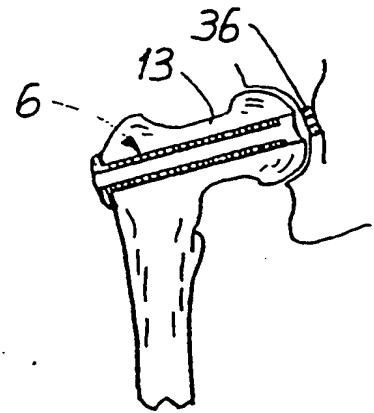


FIG. 5

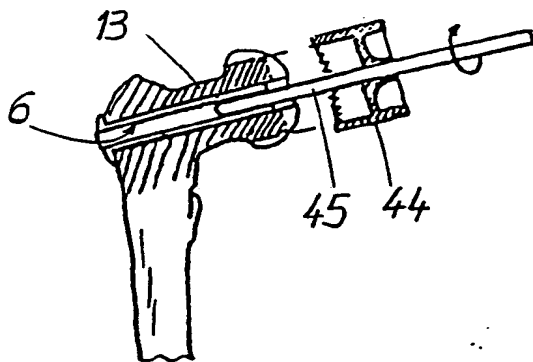


FIG. 6

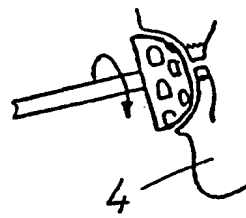


FIG. 7

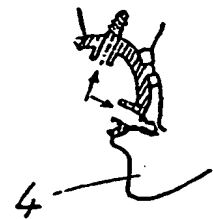


FIG. 8

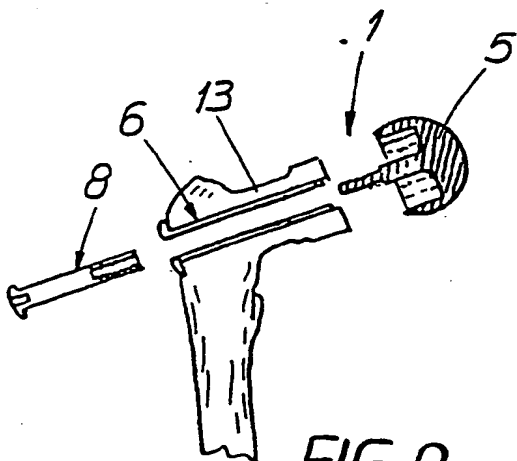


FIG. 9

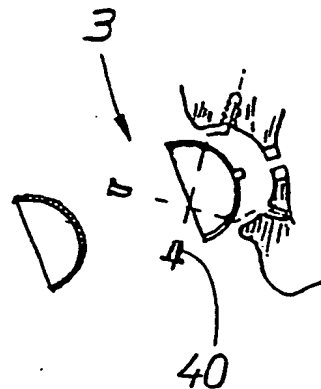


FIG. 10

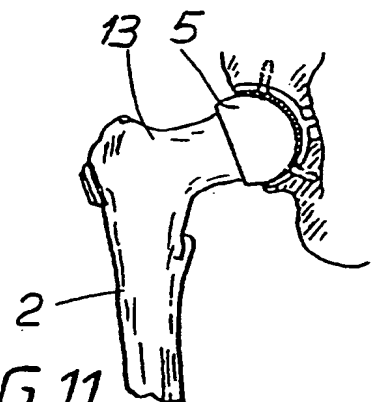


FIG. 11

5/19

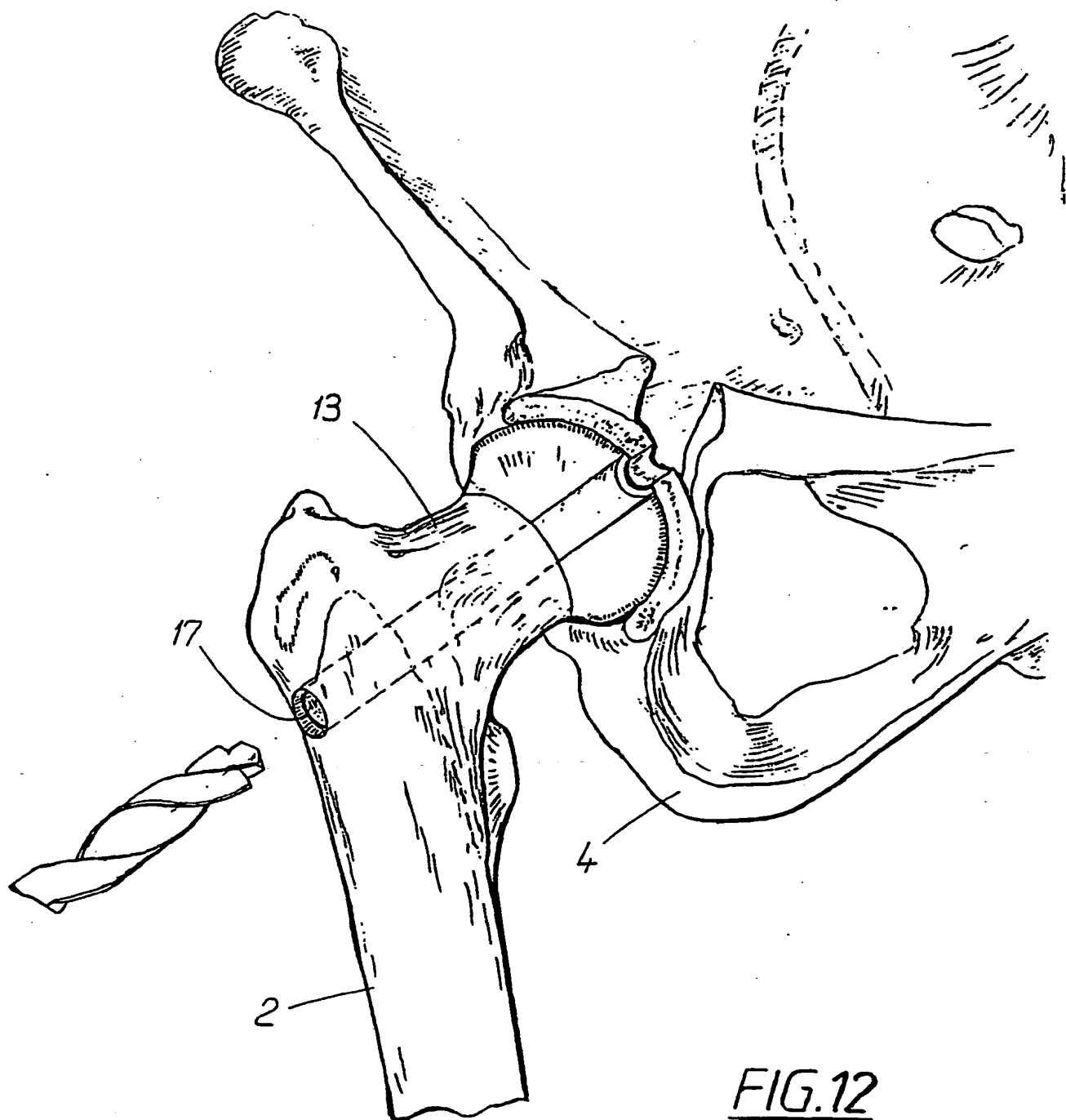
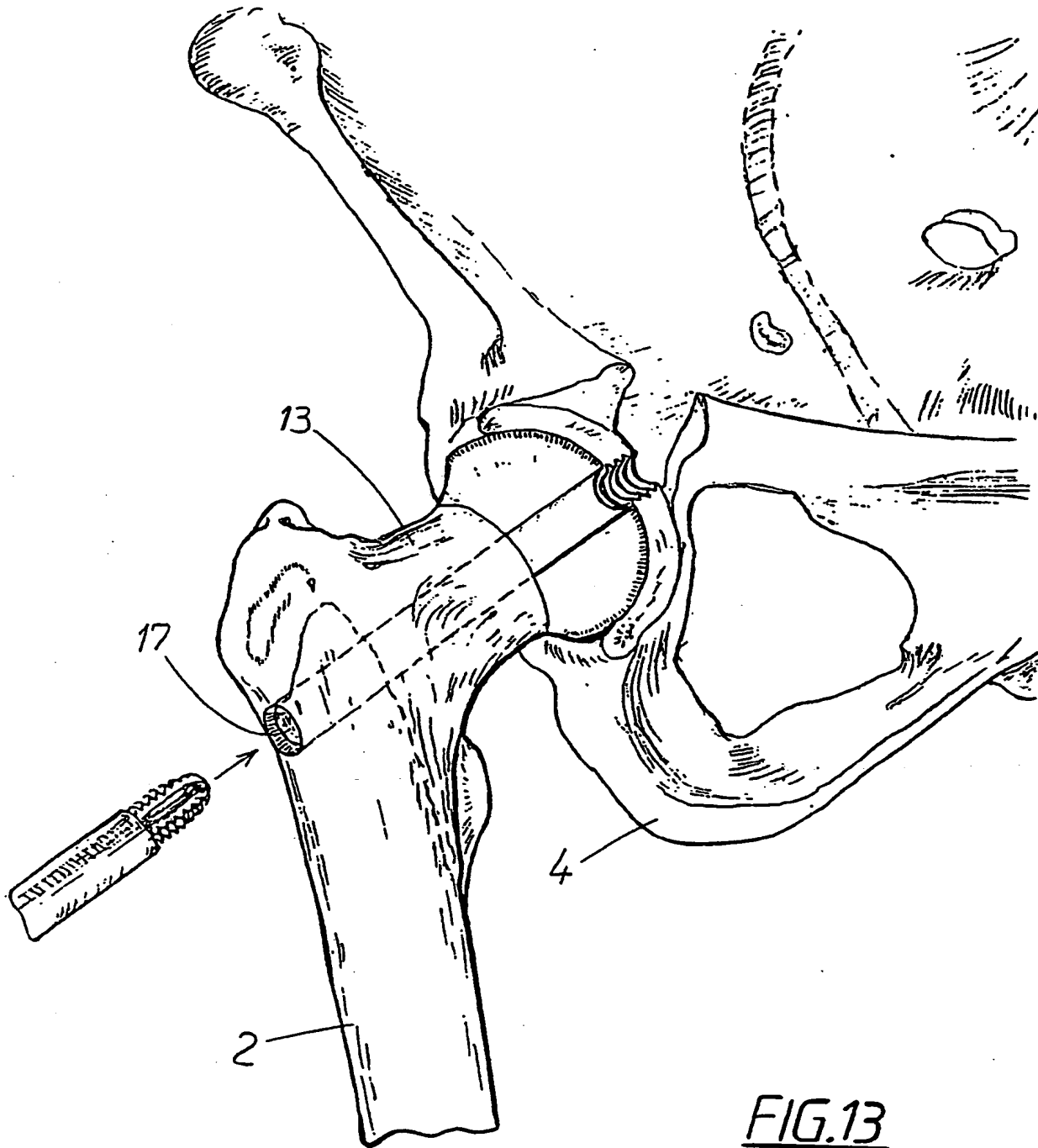


FIG. 12

6/19



7/19

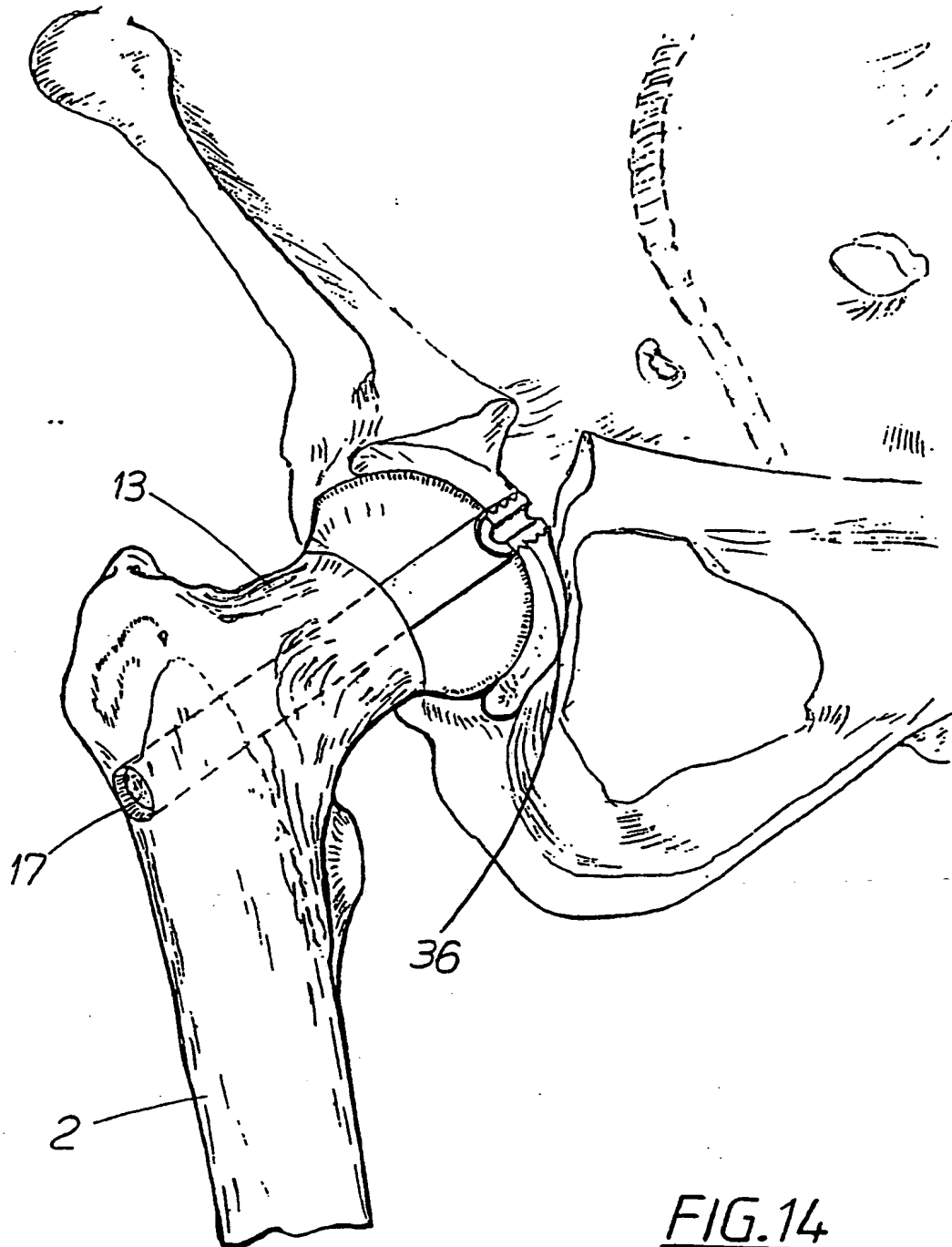
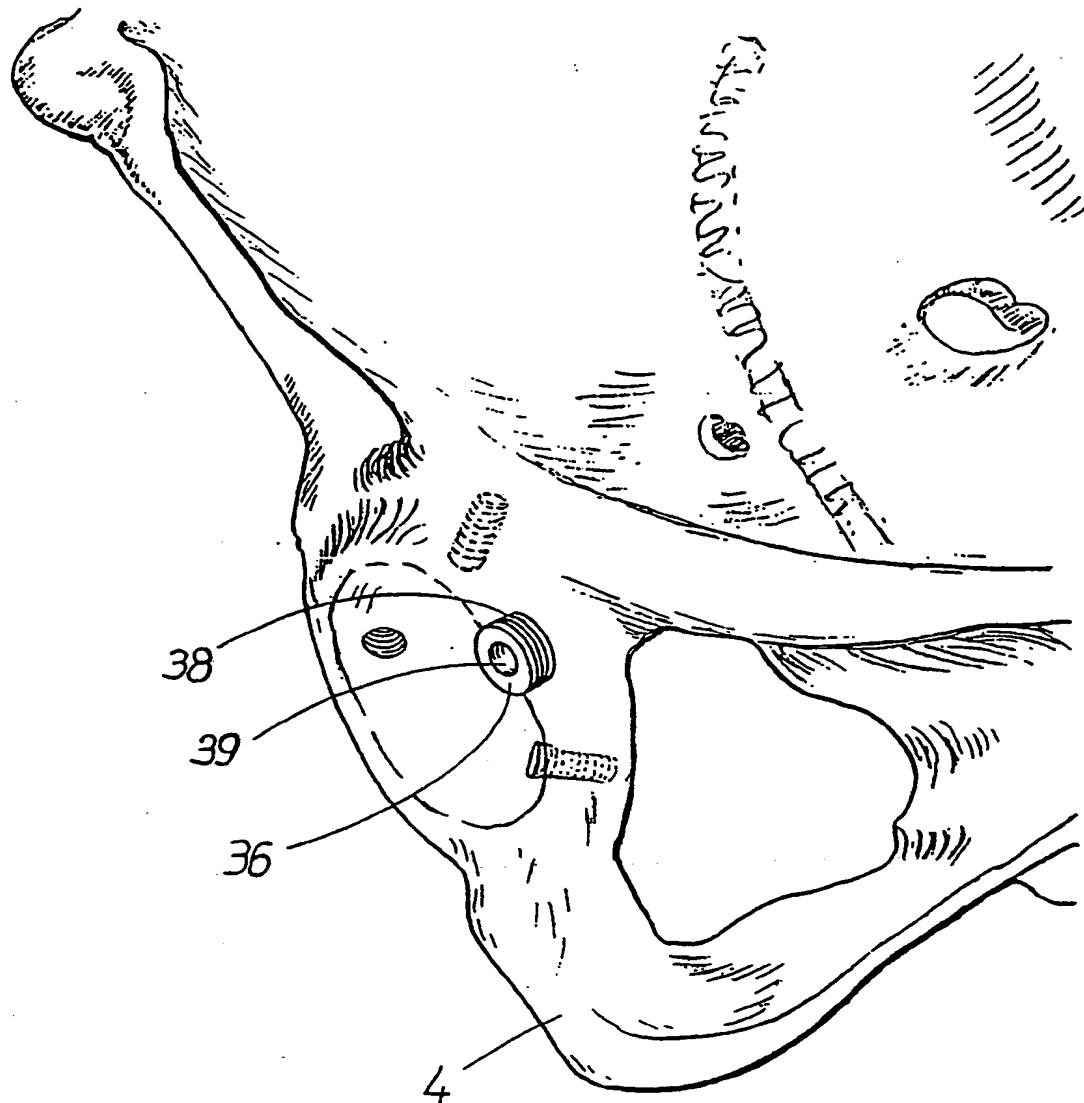
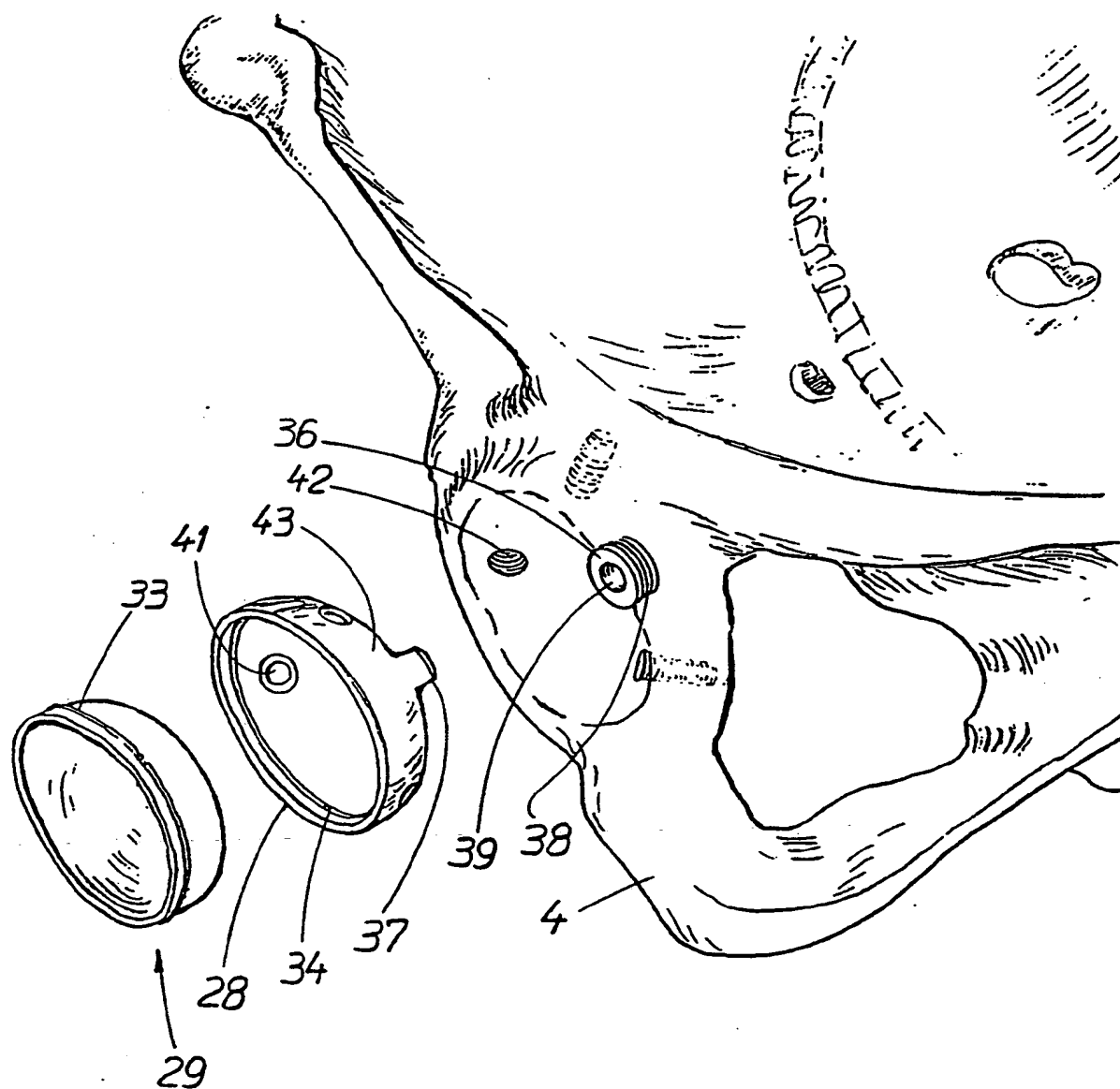


FIG.14

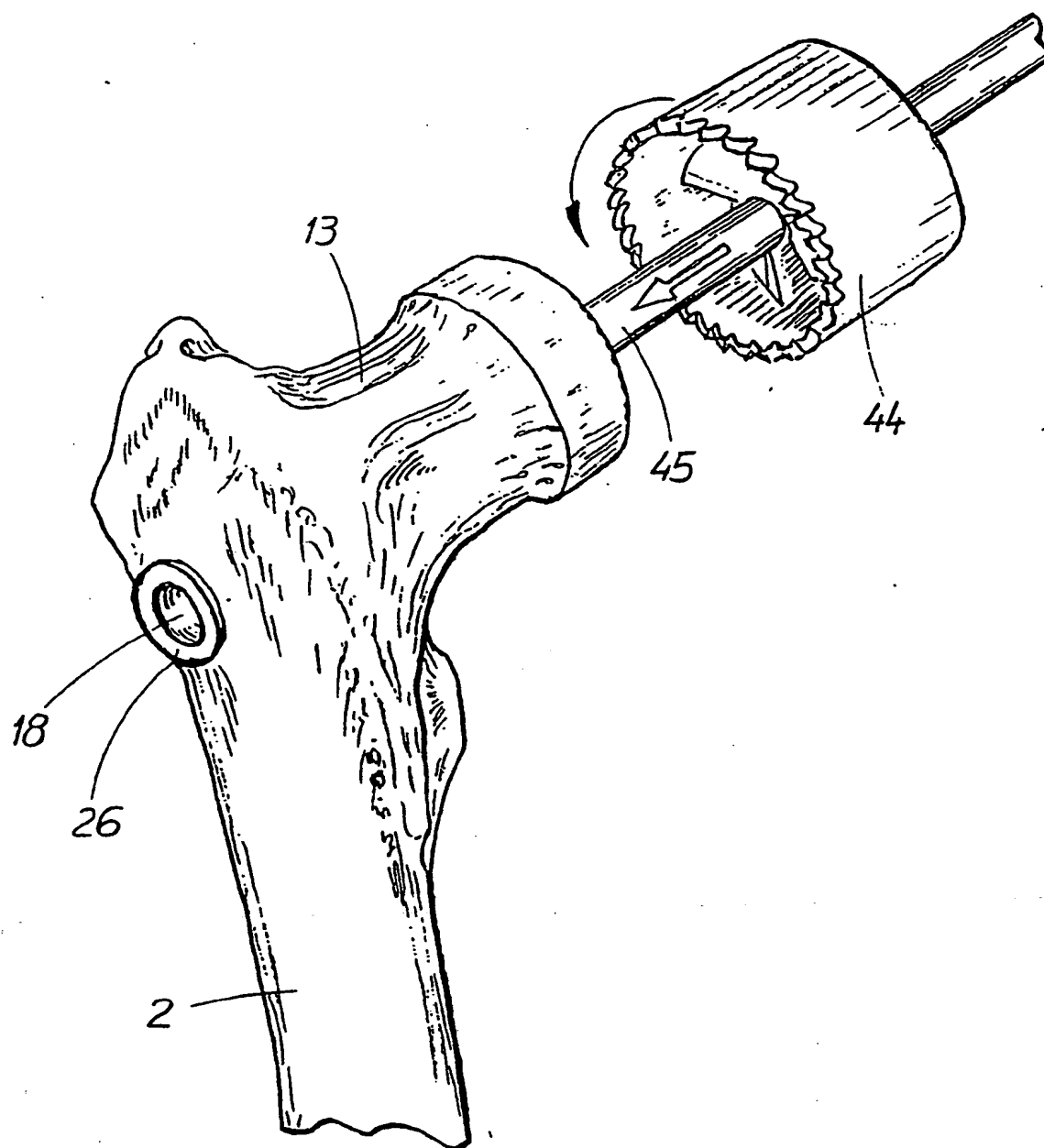
8/19

FIG.15

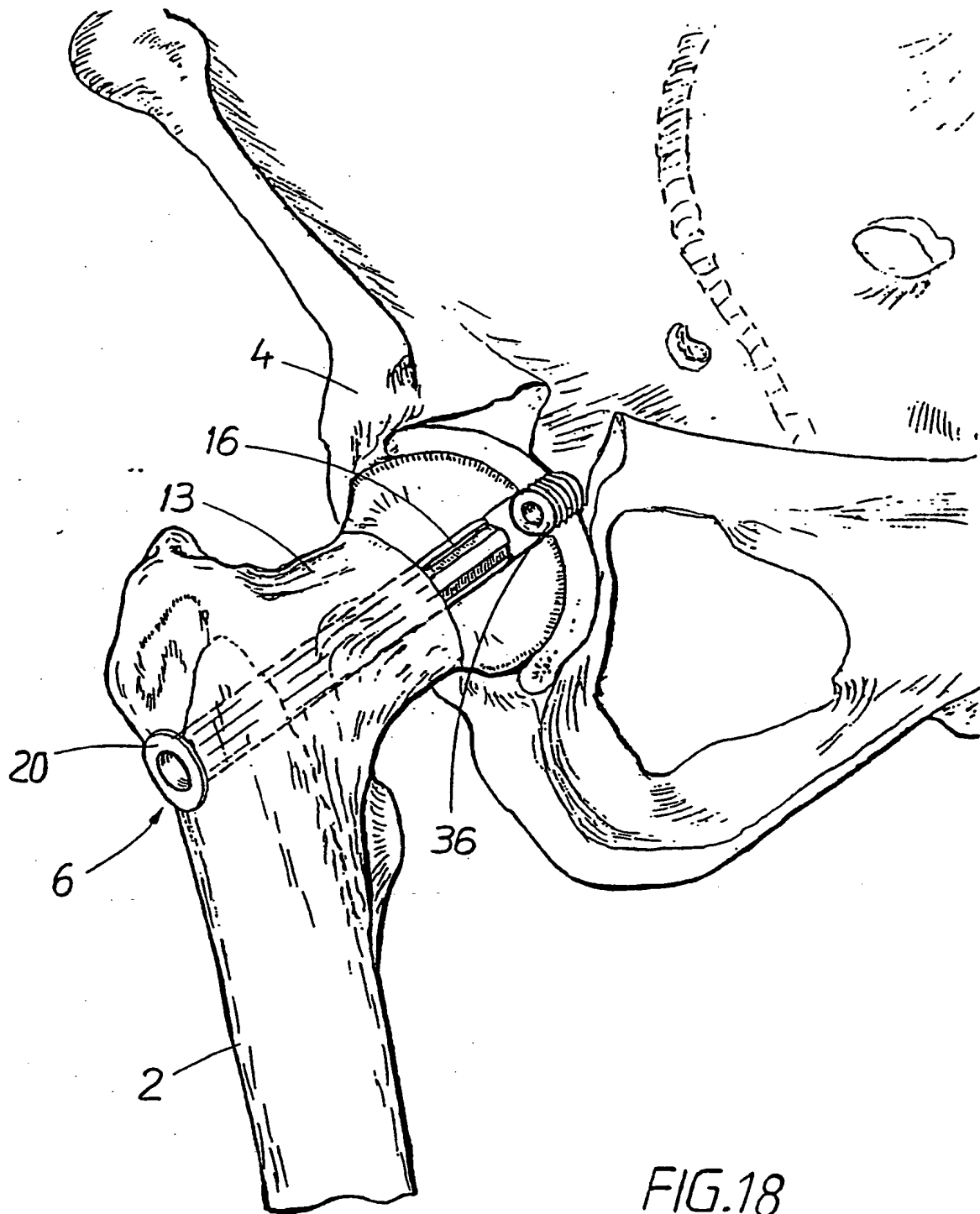
9/19

FIG.16

10/19

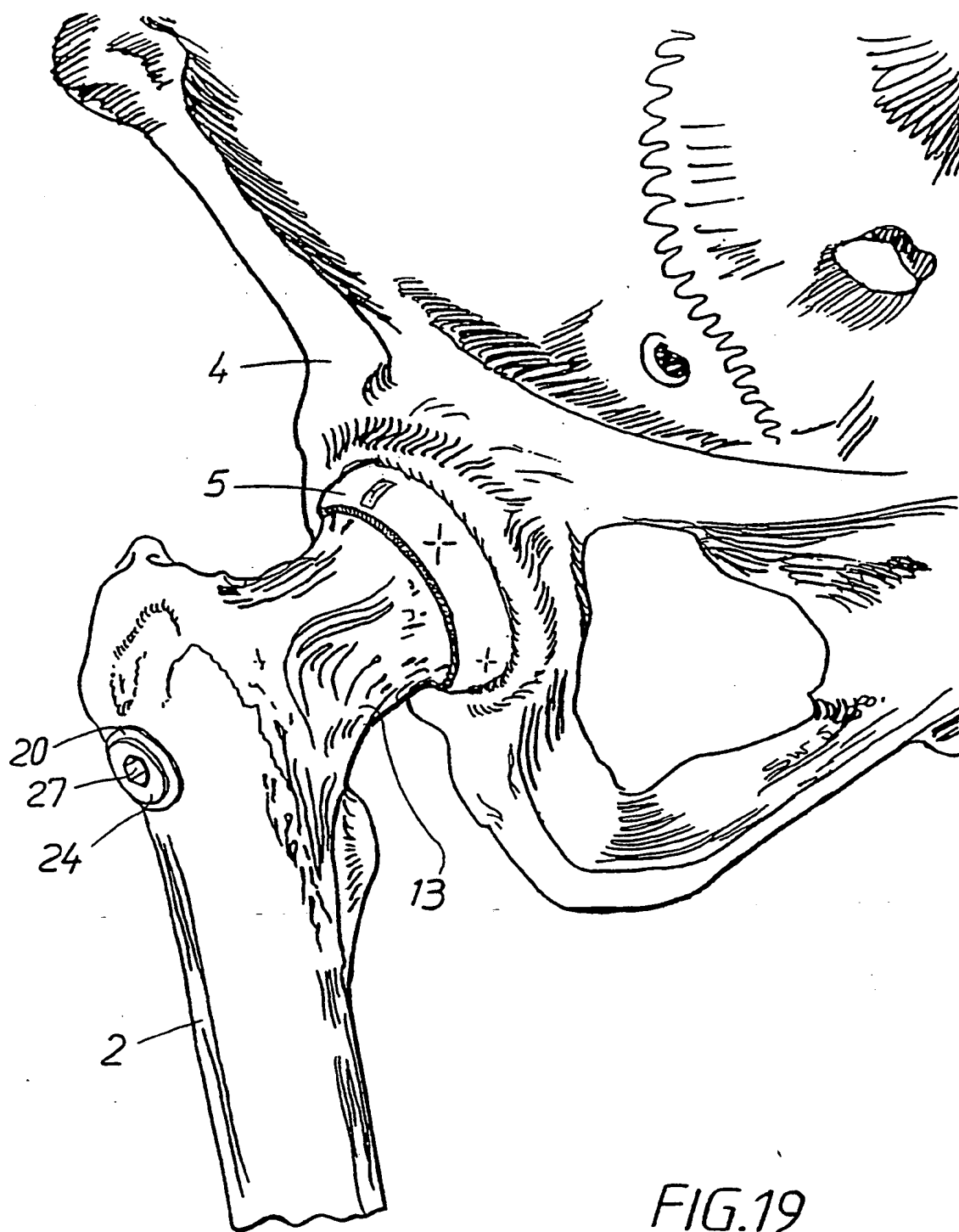
FIG.17

11/19

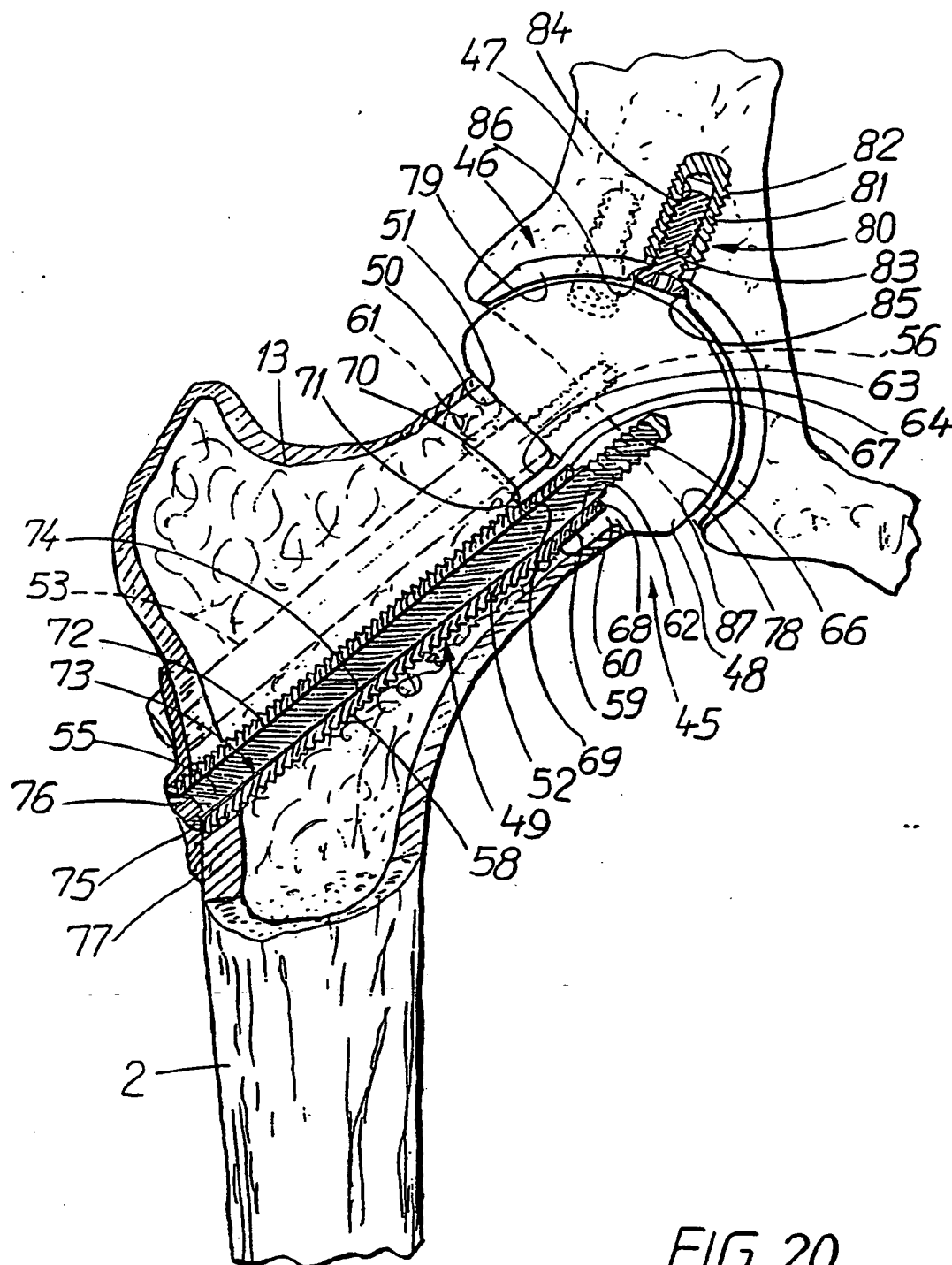
FIG.18



12/19

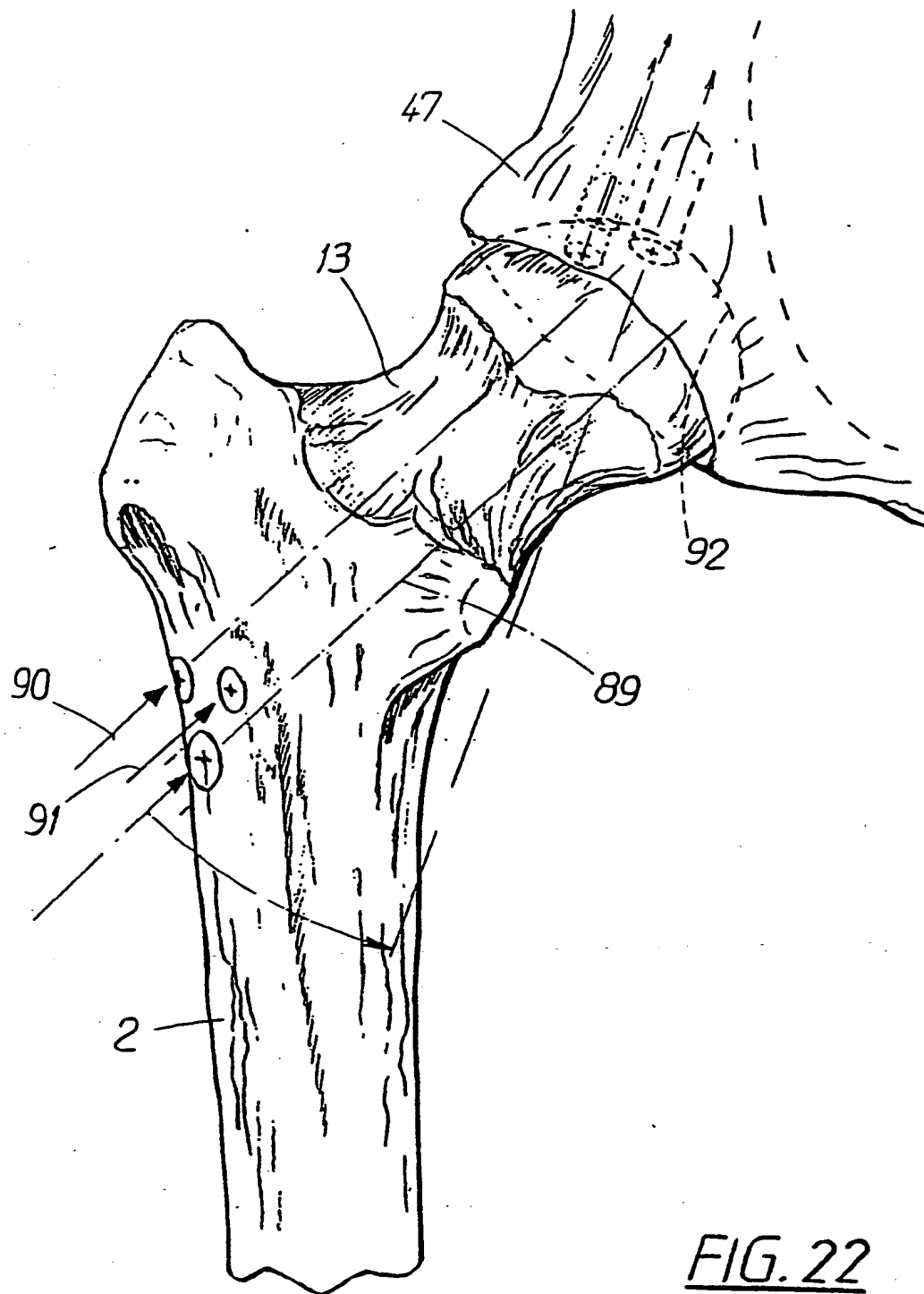
FIG.19

13/19

FIG. 20



15/19

FIG. 22

16/19

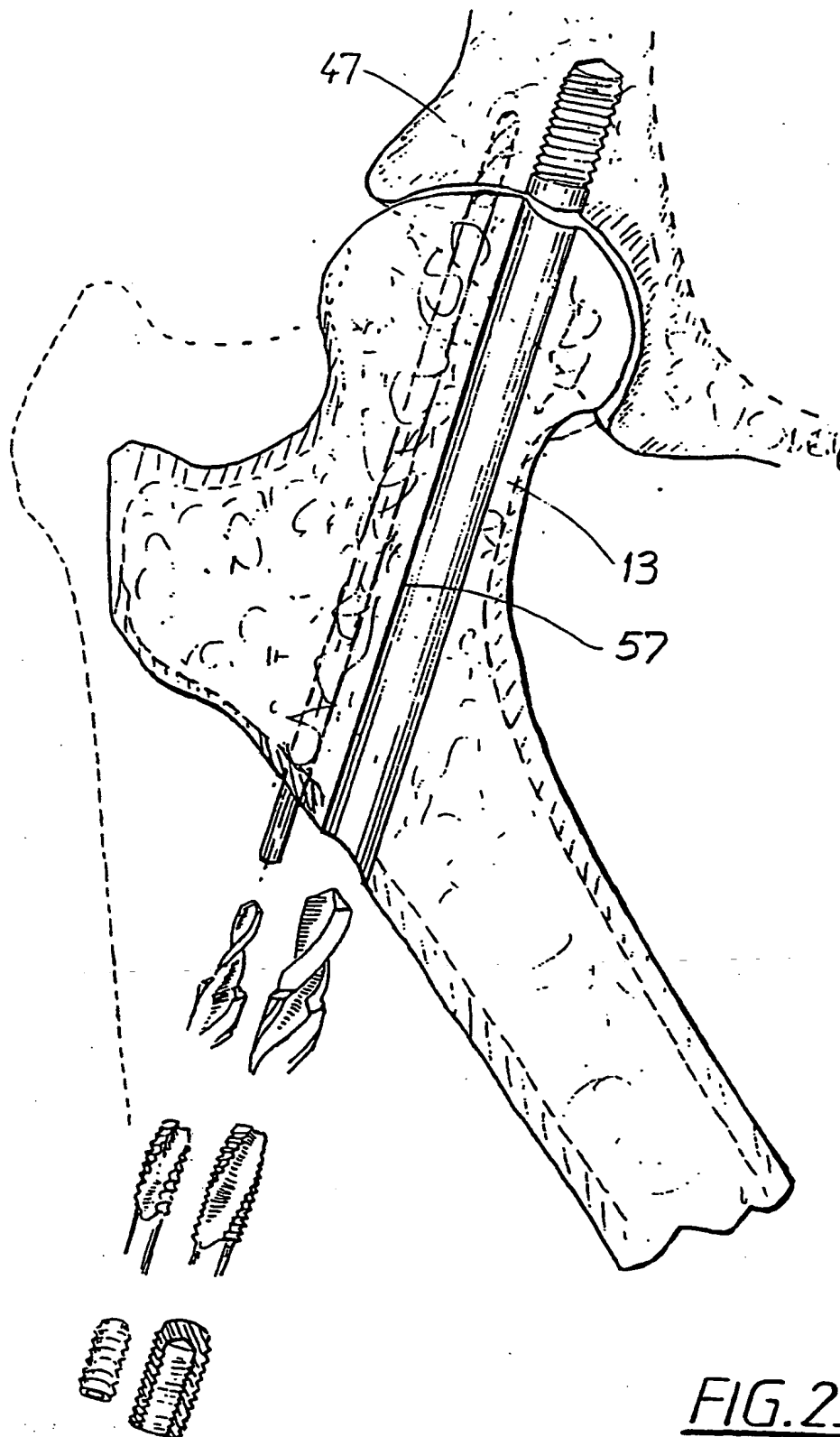
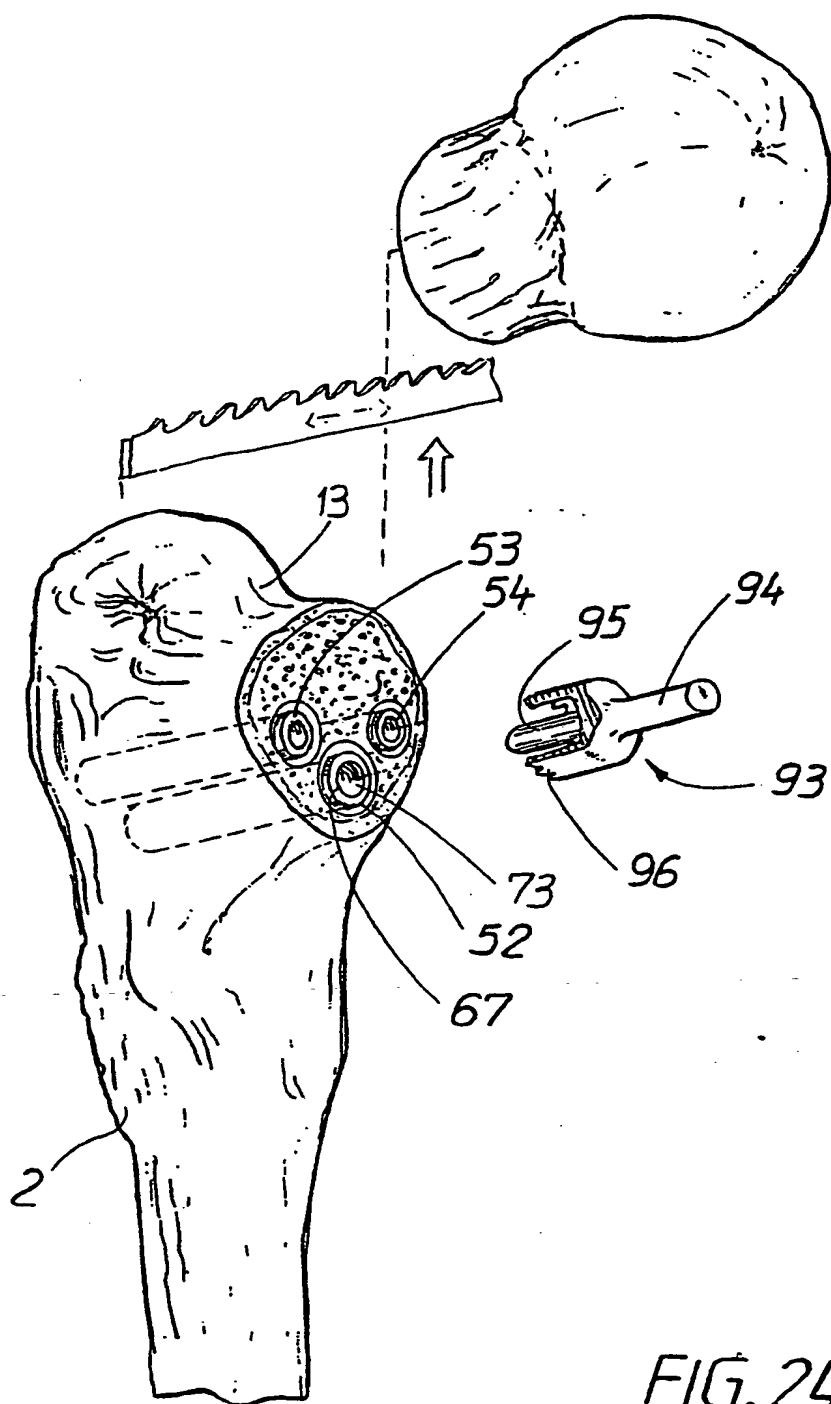
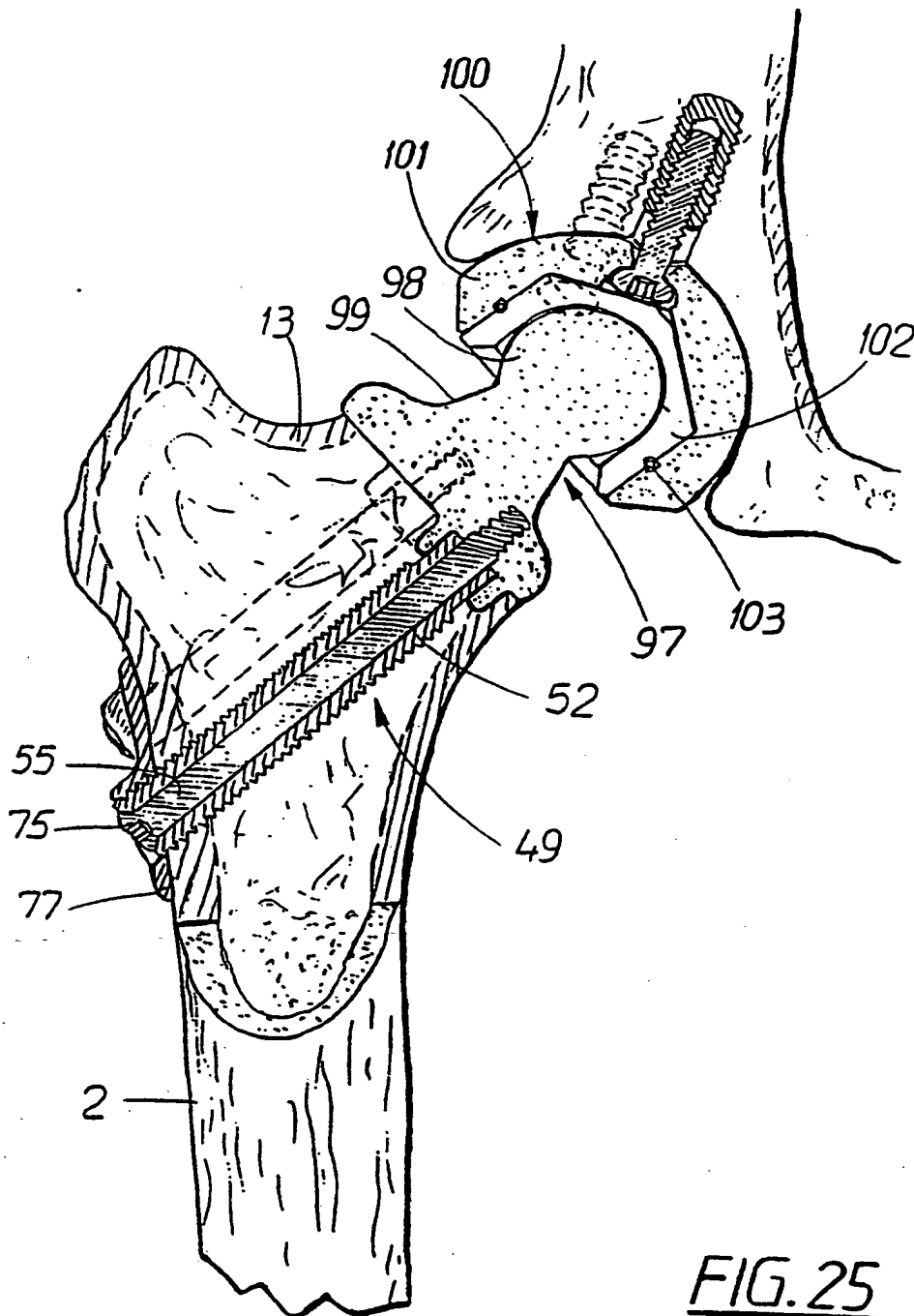


FIG. 23

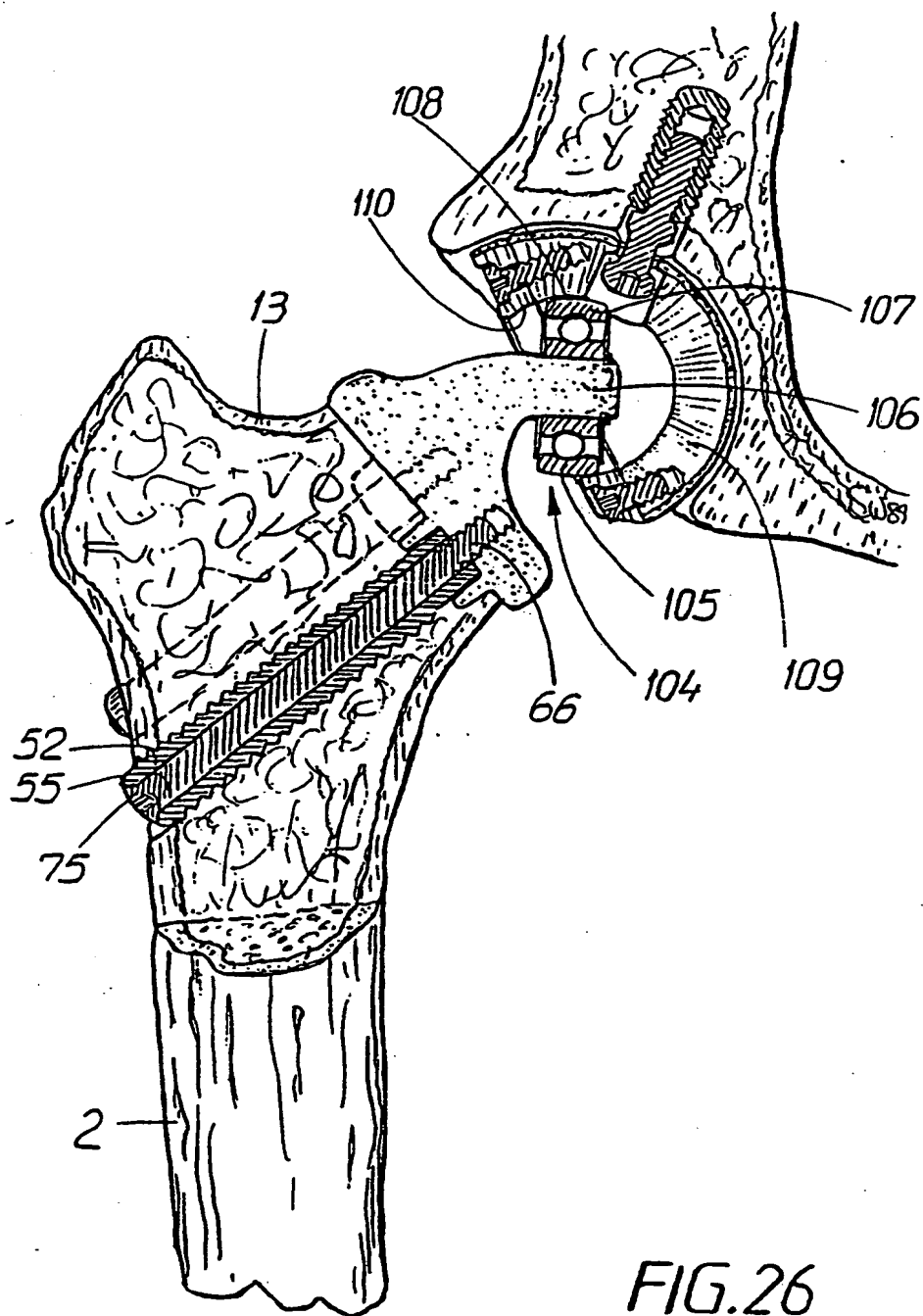
17/19

FIG. 24

18/19

FIG. 25


19/19

FIG. 26



# INTERNATIONAL SEARCH REPORT

International Application No PCT/SE89/00329

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC 4 A 61 F 2/32		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched 7		
Classification System 1	Classification Symbols	
IPC 3, 4	A 61 F 1/03, 2/30-/36, /46	
US C1	3: 1.91, 1.912-913; 623: 22, 23	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched 8		
SE, NO, FI, DK classes as above		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT 9</b>		
Category *	Citation of Document, 11 with indication, where appropriate, of the relevant passages 12	Relevant to Claim No. 13
A	DE, A1, 2 724 234 (HUGGLER) 22 December 1977	1-2, 7-8, 12-14
A	DE, A1, 2 845 231 (OH ET AL) 3 May 1979	1-7, 15-16
A	FR, A, 2 344 272 (BAUMANN ET AL) 14 October 1977	1, 15-16
A	US, A, 2 612 159 (COLLISON) 30 September 1952	1-7
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>* Special categories of cited documents: 10</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
1989-08-25	1989-08-28	
International Searching Authority	Signature of Authorized Officer	
Swedish Patent Office	 Leif Karnsäter	

Form PCT/ISA/210 (second sheet) (January 1985)